Model theory is useful in Topology Conspici Quam Prodesse

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Lattices and compact spaces A theorem of Mackowiak and Tymchatyn Dimensions





1 Lattices and compact spaces

2 A theorem of Maćkowiak and Tymchatyn

3 Categoricity







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Lattices and compact spaces A theorem of Maćkowiak and Tymchatyn

Dimensions

Outline



Lattices and compact spaces







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Lattice to space

Given a distributive, separative and normal latice L there is a compact Hausdorff space wL with a base for its closed sets that is isomorphic to L.



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- $\{\bar{a}: a \in L\}$ is the base for the closed sets
- where $\bar{a} = \{u \in wL : a \in u\}$



Space to lattice

A compact Hausdorff space X corresponds to many lattices:



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• the family 2^X of all closed subsets of X



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- any base for the closed sets that is closed under finite unions and intersections; a *lattice-base*



Space to lattice

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- the family 2^X of all closed subsets of X
- any base for the closed sets that is closed under finite unions and intersections; a *lattice-base*

Many properties of X are first-order properties of 2^X or, better still, of all lattice-bases.







2 A theorem of Maćkowiak and Tymchatyn









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- weakly confluent: each subconinuum of X is the image of some subcontinuum of the domain
- one-dimensional: covering dimension is one
- hereditarily indecomposable: if two subcontinua meet then they are comparable (with respect to ⊆)

Formulas

• a is connected: conn(a) abbreviates

$$(\forall x)(\forall y)[((x \cap y = 0) \land (x \cup y = a)) \implies ((x = 0) \lor (x = a))],$$



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$$(\forall x)(\forall y)[((x \cap y = 0) \land (x \cup y = a)) \implies ((x = 0) \lor (x = a))],$$

• one-dimensonal: connected plus

$$(\forall x_1)(\forall x_2)(\forall x_3)(\exists y_1)(\exists y_2)(\exists y_3)$$

$$[(x_1 \cap x_2 \cap x_3 = \mathfrak{o}) \implies ((x_1 \leqslant y_1) \land (x_2 \leqslant y_2) \land (x_3 \leqslant y_3) \land \land (y_1 \cap y_2 \cap y_3 = \mathfrak{o}) \land (y_1 \cup y_2 \cup y_3 = \mathfrak{1}))]$$

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This was found by Krasinkiewicz and Minc.



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Any continuum, X, is the weakly confluent image of a one-dimensional hereditarily indecomposable continuum of the same weight as X.



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Proof.

We build a specific theory in the language of lattices, prove it consistent and take a model. The Wallman space of the model is the desired preimage, because we ensure the model contains an isomorphic copy of the lattice 2^X .



First we introduce constants: all elements of 2^X





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- Formulas that express separativity, normality, one-dimensionality, connectivity and hereditary indecomposability.

• The diagram of 2^X , i.e., the multiplication tables of \cap and \cup . This ensures that the Wallman space of the resulting lattice has the desired properties and maps onto X.



We also need





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• conn(A^*) for every connected $A \in 2^X$.





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- conn(A^*) for every connected $A \in 2^X$.
- $A^* \subseteq A$ for every connected $A \in 2^X$.



The theory

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- $\operatorname{conn}(A^*)$ for every connected $A \in 2^X$.
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•
$$(A^* \subseteq B) \rightarrow (A \subseteq B)$$

for every connected $A \in 2^X$ and all $B \in 2^X$.



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Then (the interpretation of) A^* will be a subcontinuum of the Wallman space that maps exactly onto A.


Finishing the proof

• The Maćkowiak-Tymchatyn theorem implies that every *countable* subset of our theory has a model.



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- The Maćkowiak-Tymchatyn theorem implies that every *countable* subset of our theory has a model.
- Hence the whole theory has a model ... done.
- Well, almost: Löwenheim-Skolem helps get the weight down to that of *X*.





Interesting by-product.





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The standard proof of the completeness theorem — adding witnesses — can in this case be converted into an inverse-limit proof of the original metric result.







2 A theorem of Maćkowiak and Tymchatyn











Given a metric continuum \boldsymbol{X} there is another metric continuum \boldsymbol{Y} such that





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• X and Y look the same (they have elementarily equivalent countable bases)





Given a metric continuum X there is another metric continuum Y such that

- X and Y look the same (they have elementarily equivalent countable bases)
- X and Y are not homeomorphic



Example: zero-dimensionality

Here is a first-order sentence, call it $\boldsymbol{\zeta}$

$$(\forall x)(\forall y)(\exists u)(\exists v) ((x \sqcap y = 0) \to ((x \leqslant u) \land (y \leqslant v) \land (u \sqcap v = 0) \land (u \sqcup v = 1)))$$



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In words: any two disjoint closed sets (x and y) can be separated by clopen sets (u and v).



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In words: any two disjoint closed sets (x and y) can be separated by clopen sets (u and v).

By *compactness*, if some base satisfies this sentence then the space is zero-dimensional.

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In words: every closed proper subset (x) is properly contained in a closed proper subset (y);

in fewer words: there are no isolated points.

If some base satisfies this sentence then the space has no isolated points.

Example: the Cantor set is categorical

Let X be compact metric with a countable base \mathcal{B} for the closed sets that satisfies ζ and π .



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So X is (homeomorphic to) the Cantor set C.



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Thus: if X looks like C then X is homeomorphic to C.



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Thus: if X looks like C then X is homeomorphic to C.

The Cantor set is categorical among compact metric spaces.



Source

What the main result says

Among metric continua there is no categorical space.



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Among metric continua there is no categorical space. No (in)finite list of first-order properties will characterize a single metric continuum.



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A case in point: the pseudoarc

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A two-item list but ... Chainability is *not* first-order.



A case in point: the pseudoarc

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A two-item list but ... Chainability is *not* first-order. (Hereditary indecomposability is.)



Sources

An embedding lemma

Lemma

Let X and Z be metric continua, with countable lattice bases, \mathcal{B} and \mathcal{C} , for their respective families of closed sets. Let u be a free ultrafilter on ω . There is an embedding of \mathcal{C} into the ultrapower of \mathcal{B} by u.



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Apply the Löwenheim-Skolem theorem: Find a countable elementary sublattice \mathcal{D} of \mathcal{B}_u that contains $\varphi[\mathcal{C}]$.



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Apply the Löwenheim-Skolem theorem: Find a countable elementary sublattice \mathcal{D} of \mathcal{B}_u that contains $\varphi[\mathcal{C}]$. Let Y be the Wallman space of \mathcal{D} .




• Y is compact metric (\mathcal{D} is countable).





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- \mathcal{D} is a base for the closed sets of Y (by Wallman's theorem).
- \mathcal{D} is elementarily equivalent to \mathcal{B}_u and hence to \mathcal{B} .
- Y maps onto Z (because $\varphi[\mathcal{C}]$ is embedded into \mathcal{D}).



Getting a good Y

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such that Y maps onto Z.



Getting a good Y

Let X be given, with a countable base \mathcal{B} for its closed sets. There is a metric continuum Z that is not a continuous image of X (Waraszkiewicz).

Find Y with a base that is elementarily equivalent to \mathcal{B} and such that Y maps onto Z.

So: Y is not homeomorphic to X.







2 A theorem of Maćkowiak and Tymchatyn

3 Categoricity







Covering dimension

Definition

 $\dim X \leqslant n$ if every finite open cover has a (finite) open refinement of order at most n+1



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There is a convenient first-order characterization.



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There is a convenient first-order characterization.

Theorem (Hemmingsen)

dim $X \leq n$ iff every n + 2-element open cover has a shrinking with an empty intersection.

Large inductive dimension

Definition

Ind $X \leq n$ if between every two disjoint closed sets A and B there is a partition L that satisfies $\text{Ind } L \leq n-1$.



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L is a partition between *A* and *B* means: there are closed sets *F* and *G* that cover *X* and satisfy: $F \cap B = \emptyset$, $G \cap A = \emptyset$ and $F \cap G = L$.



Dimensionsgrad

Definition

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C is a cut between *A* and *B* means: $C \cap K \neq \emptyset$ whenever *K* is a subcontinuum of *X* that meets both *A* and *B*.



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• For σ -compact metric X: dim X





• For σ -compact metric X: dim $X = \operatorname{Ind} X$





• For σ -compact metric X: dim $X = \operatorname{Ind} X = \operatorname{Dg} X$





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- the second is fairly recent (1999).





- For σ-compact metric X: dim X = Ind X = Dg X
- The first equality is classical and holds for all metric X
- the second is fairly recent (1999).
- There is for each *n* a locally connected Polish X_n with $\operatorname{Dg} X_n = 1$ and dim $X_n = n$ (Fedorchuk, van Mill)



More inequalities

For arbitrary compact Hausdorff spaces



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For arbitrary compact Hausdorff spaces:

• $Dg X \leq Ind X$ (each partition is a cut)



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For arbitrary compact Hausdorff spaces:

- $Dg X \leq Ind X$ (each partition is a cut)
- dim $X \leq \text{Ind } X$ (Vedenissof)
- dim $X \leq Dg X$ (Fedorchuk)

We will reprove the last two inequalities.



Covering dimension

Here is Hemmingsen's characterization of dim $X \leq n$



Covering dimension

Here is Hemmingsen's characterization of dim $X \leq n$ reformulated in terms of closed sets



Covering dimension

Here is Hemmingsen's characterization of dim $X \leq n$ reformulated in terms of closed sets and cast as a formula, δ_n , in the language of lattices


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Here is Hemmingsen's characterization of dim $X \leq n$ reformulated in terms of closed sets and cast as a formula, δ_n , in the language of lattices

$$(\forall x_1)(\forall x_2) \cdots (\forall x_{n+2})(\exists y_1)(\exists y_2) \cdots (\exists y_{n+2}) [(x_1 \cap x_2 \cap \cdots \cap x_{n+2} = \mathbf{0}) \implies ((x_1 \leqslant y_1) \land (x_2 \leqslant y_2) \land \cdots \land (x_{n+2} \leqslant y_{n+2}) \land (y_1 \cap y_2 \cap \cdots \cap y_{n+2} = \mathbf{0}) \land (y_1 \cup y_2 \cup \cdots \cup y_{n+2} = \mathbf{1}))]$$

3.5

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We can express $\operatorname{Ind} X \leq n$ in a similar fashion, the formula $I_n(a)$ becomes (recursively)



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 $(\forall x)(\forall y)(\exists u)$ $[(((x \leq a) \land (y \leq a) \land (x \cap y = o)) \implies (partn(u, x, y, a) \land I_{n-1}(u))]$



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where partn(u, x, y, a) says that u is a partition between x and y in the (sub)space a:



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where partn(u, x, y, a) says that u is a partition between x and y in the (sub)space a:

$$(\exists f)(\exists g)((x \cap f = o) \land (y \cap g = o) \land (f \cup g = a) \land (f \cap g = u))$$

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$$(\forall x)(\forall y)(\exists u)$$

[(((x \le a) \le (y \le a) \le (x \cap y = o)) \le (partn(u, x, y, a) \le l_{n-1}(u))]

where partn(u, x, y, a) says that u is a partition between x and y in the (sub)space a:

$$(\exists f)(\exists g)((x \cap f = o) \land (y \cap g = o) \land (f \cup g = a) \land (f \cap g = u)$$

We start with $I_{-1}(a)$, which denotes a = o

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$$(\forall x)(\forall y)(\exists u) [((x \leq a) \land (y \leq a) \land (x \cap y = o)) \implies (\operatorname{cut}(u, x, y, a) \land \Delta_{n-1}(u))],$$



Dimensionsgrad

Here we have the recursive definition of a formula $\Delta_n(a)$:

$$\begin{aligned} &(\forall x)(\forall y)(\exists u)\\ &\left[\left((x \leqslant a) \land (y \leqslant a) \land (x \cap y = o)\right) \implies (\operatorname{cut}(u, x, y, a) \land \Delta_{n-1}(u))\right],\\ &\text{and } \Delta_{-1}(a) \text{ denotes } a = o. \end{aligned}$$



Dimensionsgrad (auxiliary formulas)

The formula cut(u, x, y, a) expresses that u is a cut between x and y in a:



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The formula cut(u, x, y, a) expresses that u is a cut between x and y in a:

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and conn(a) says that a is connected:

$$(\forall x)(\forall y)[((x \cap y = 0) \land (x \cup y = a)) \implies ((x = 0) \lor (x = a))],$$

Why formulas?

• dim
$$X \leq n$$
 iff $2^X \vDash \delta_n$



K. P. Hart Model theory is useful in Topology

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•
$$\operatorname{Dg} X \leqslant n$$
 iff $2^X \vDash \Delta_n(X)$



Covering dimension

Theorem

Let X be compact. Then dim $X \leq n$ iff some (every) lattice-base for its closed sets satisfies δ_n .



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Let X be compact. Then dim $X \leq n$ iff some (every) lattice-base for its closed sets satisfies δ_n .

Proof.

Both directions use swelling and shrinking to replace the finite families by combinatorially equivalent subfamilies of the base.

Large inductive dimension

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Let X be compact. If some lattice lattice-base, \mathcal{B} , for its closed sets satisfies $I_n(X)$ then $\operatorname{Ind} X \leq n$.



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Induction: given A and B expand them to $A', B' \in \mathcal{B}$. Then find $L \in \mathcal{B}$, between A' and B', such that $\mathcal{B}_L = \{D \in \mathcal{B} : D \subseteq L\}$ satisfies $I_{n-1}(L)$. As \mathcal{B}_L is a base for the closed sets of L we know, by inductive assumption, that $\operatorname{Ind} L \leq n-1$.

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No equivalence, see later.

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K. P. Hart Model theory is useful in Topology

Dimensionsgrad

Theorem

Let X be compact. If some lattice lattice-base, \mathcal{B} , for its closed sets satisfies $\Delta_n(X)$ then we can't say anything about $\operatorname{Dg} X$.

Proof.

Let X = [0, 1] and let \mathcal{B} be the lattice-base generated by the family of sets of the form $[0, q] \cup \{q + 2^{-n} : n \in \omega\}$ (q rational) and $[p, 1] \cup \{p - 2^{-n} : n \in \omega\}$ (p irrational).

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Proof.

By elementarity we see that $2^X \vDash \delta_n$ iff $L \vDash \delta_n$.

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 $\dim wL = \dim X$

Proof.

By elementarity we see that $2^X \vDash \delta_n$ iff $L \vDash \delta_n$. Previous theorem: *L* satisfies δ_n iff 2^{wL} does.



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Covering dimension

Theorem

 $\dim wL = \dim X$

Proof.

By elementarity we see that $2^X \models \delta_n$ iff $L \models \delta_n$. Previous theorem: L satisfies δ_n iff 2^{wL} does. It follows that dim $X \leq n$ iff dim $wL \leq n$ for all n.

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Ind $wL \leq \text{Ind } X$



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Proof.

By elementarity we see that $2^X \vDash I_n(X)$ iff $L \vDash I_n(X)$.



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Proof.

By elementarity we see that $2^X \models I_n(X)$ iff $L \models I_n(X)$. By previous theorem we know $\text{Ind } wL \leq n$, whenever L satisfies $I_n(wL)$.

Large inductive dimension

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Ind $wL \leq \text{Ind } X$

Proof.

By elementarity we see that $2^X \models I_n(X)$ iff $L \models I_n(X)$. By previous theorem we know $\text{Ind } wL \leq n$, whenever L satisfies $I_n(wL)$. Thus: $\text{Ind } X \leq n$ implies $\text{Ind } wL \leq n$.

Dimensionsgrad

Theorem

 $\operatorname{Dg} wL \leqslant \operatorname{Dg} X$



Dimensionsgrad

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Nonproof

By elementarity we see that $2^X \vDash \Delta_n(X)$ iff $L \vDash \Delta_n(X)$.



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Dimensionsgrad

Theorem

 $\operatorname{Dg} wL \leqslant \operatorname{Dg} X$

Nonproof

By elementarity we see that $2^X \vDash \Delta_n(X)$ iff $L \vDash \Delta_n(X)$. By previous theorem we know nothing yet about Dg *wL*.



Dimensionsgrad

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Dimensionsgrad

Theorem

 $\mathsf{Dg} \, wL \leqslant \mathsf{Dg} \, X$

Proof.

Let A and B be closed and disjoint in wL. Wlog: $A, B \in L$.

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Dimensionsgrad

Theorem

 $\mathsf{Dg} \, wL \leqslant \mathsf{Dg} \, X$

Proof.

Let A and B be closed and disjoint in wL. Wlog: $A, B \in L$. Elementarity: there is $C \in L$ that is a cut between A and B in X and that satisfies $\Delta_{n-1}(C) \leq n-1$.

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Dimensionsgrad

Theorem

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Let A and B be closed and disjoint in wL. Wlog: $A, B \in L$. Elementarity: there is $C \in L$ that is a cut between A and B in X and that satisfies $\Delta_{n-1}(C) \leq n-1$. Inductive assumption: Dg $C \leq n-1$ in wL

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Dimensionsgrad

Theorem

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Proof.

Let A and B be closed and disjoint in wL. Wlog: $A, B \in L$. Elementarity: there is $C \in L$ that is a cut between A and B in X and that satisfies $\Delta_{n-1}(C) \leq n-1$. Inductive assumption: Dg $C \leq n-1$ in wL, because $M = \{D \in L : D \subseteq C\}$ is an elementary sublattice of $\{D \in 2^X : D \subseteq C\}$ and C-in-wL is wM.

Dimensionsgrad

Theorem

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Proof.

Let A and B be closed and disjoint in wL. Wlog: $A, B \in L$. Elementarity: there is $C \in L$ that is a cut between A and B in X and that satisfies $\Delta_{n-1}(C) \leq n-1$. Inductive assumption: $Dg C \leq n-1$ in wL, because $M = \{D \in L : D \subseteq C\}$ is an elementary sublattice of $\{D \in 2^X : D \subseteq C\}$ and C-in-wL is wM. Still to show: C-in-wL is a cut between A and B in wL.

Proof (continued)

Let F be a closed set in wL that meets A and B but not C.



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Proof (continued)

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Proof (continued)

Let F be a closed set in wL that meets A and B but not C. We show F is not connected. Find H in L around F, disjoint from C. Back in X no component of H meets C, hence it does *not* meet both A and B.



Proof (continued)

Let F be a closed set in wL that meets A and B but not C. We show F is not connected. Find H in L around F, disjoint from C. Back in X no component of H meets C, hence it does *not* meet both A and B. By well-known topology and elementarity there are disjoint

elements H_A and H_B of L such that



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Proof (continued)

Let F be a closed set in wL that meets A and B but not C. We show F is not connected.

Find H in L around F, disjoint from C.

Back in X no component of H meets C, hence it does *not* meet both A and B.

By well-known topology and elementarity there are disjoint elements H_A and H_B of L such that $H = H_A \cup H_B$

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By well-known topology and elementarity there are disjoint elements H_A and H_B of L such that $H = H_A \cup H_B$, $A \cap H \subseteq H_A$

Proof (continued)

Let F be a closed set in wL that meets A and B but not C. We show F is not connected.

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Back in X no component of H meets C, hence it does *not* meet both A and B.

By well-known topology and elementarity there are disjoint elements H_A and H_B of L such that $H = H_A \cup H_B$, $A \cap H \subseteq H_A$ and $B \cap H \subseteq H_B$.

Proof (continued)

Let F be a closed set in wL that meets A and B but not C. We show F is not connected.

Find H in L around F, disjoint from C.

Back in X no component of H meets C, hence it does *not* meet both A and B.

By well-known topology and elementarity there are disjoint elements H_A and H_B of L such that $H = H_A \cup H_B$, $A \cap H \subseteq H_A$ and $B \cap H \subseteq H_B$.

Down in wL we have exactly the same relations, so H_A and H_B show F is not connected.



Let X be compact Hausdorff and let L be a *countable* elementary sublattice of 2^X . Then





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Finishing up

Let X be compact Hausdorff and let L be a *countable* elementary sublattice of 2^X . Then

Vedenissof: dim $X = \dim wL = \operatorname{Ind} wL \leq \operatorname{Ind} X$

Fedorchuk: dim $X = \dim wL = \operatorname{Dg} wL \leq \operatorname{Dg} X$

There are X with dim X < Dg X, so Dg wL < Dg X and Ind wL < Ind X are possible.







2 A theorem of Maćkowiak and Tymchatyn

3 Categoricity







Light reading

Website: fa.its.tudelft.nl/~hart

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Remarks on hereditarily indecomposable continua, Topology Proceedings, **25** (2000), 179–206.

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🚺 K. P. Hart.

There is no categorical metric continuum, to appear.

🚺 K. P. Hart.

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