$\omega^*\text{, }\omega_1^*$ and non-trivial autohomeomorphisms Quidquid latine dictum sit, altum videtur

K. P. Hart

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Praha, 8. srpen 2011: 15:40-16:10



 $\begin{array}{l} \mbox{History}\\ \mbox{Working toward } 0=1\\ \mbox{A non-trivial autohomeomorphism} \end{array}$

Outline









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 $\begin{array}{l} \mbox{History}\\ \mbox{Working toward 0} = 1\\ \mbox{A non-trivial autohomeomorphism} \end{array}$

A basic question

All cardinals carry the discrete topology.



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Question (The Katowice Problem)

Are there different infinite cardinals κ and λ such that κ^* and λ^* are homeomorphic?



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Equivalently: are there different infinite cardinals κ and λ such that the Boolean algebras $\mathcal{P}(\kappa)/\text{fin}$ and $\mathcal{P}(\lambda)/\text{fin}$ are isomorphic?

Gut reaction

Of course not!



All cardinals carry the discrete topology.

Question (The Katowice Problem)

Are there different infinite cardinals κ and λ such that κ^* and λ^* are homeomorphic? Equivalently: are there different infinite cardinals κ and λ such

that the Boolean algebras $\mathcal{P}(\kappa)/fin$ and $\mathcal{P}(\lambda)/fin$ are isomorphic?

Gut reaction

Of course not! That would be shocking.



 $\begin{array}{l} \mbox{History}\\ \mbox{Working toward } 0=1\\ \mbox{A non-trivial autohomeomorphism} \end{array}$

A word of warning

Remember: people thought that $\kappa < \lambda$ would imply $2^{\kappa} < 2^{\lambda}$.



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And had a hard time proving it.



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Remember: people thought that $\kappa < \lambda$ would imply $2^{\kappa} < 2^{\lambda}$.

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And then they learned that it was unprovable.

Very unprovable: one can specify regular cardinals at will and create a model in which their 2-powers are equal.



 $\begin{array}{l} \mbox{History}\\ \mbox{Working toward } 0=1\\ \mbox{A non-trivial autohomeomorphism} \end{array}$

A glimmer of hope

If $\kappa < \lambda$ then $\beta \kappa$ and $\beta \lambda$ are not homeomorphic



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A glimmer of hope

If $\kappa < \lambda$ then $\beta \kappa$ and $\beta \lambda$ are not homeomorphic (or $\mathcal{P}(\kappa)$ and $\mathcal{P}(\lambda)$ are not isomorphic)



A glimmer of hope

If $\kappa < \lambda$ then $\beta \kappa$ and $\beta \lambda$ are not homeomorphic (or $\mathcal{P}(\kappa)$ and $\mathcal{P}(\lambda)$ are not isomorphic) even if $2^{\kappa} = 2^{\lambda}$.



A glimmer of hope

If $\kappa < \lambda$ then $\beta \kappa$ and $\beta \lambda$ are not homeomorphic (or $\mathcal{P}(\kappa)$ and $\mathcal{P}(\lambda)$ are not isomorphic) even if $2^{\kappa} = 2^{\lambda}$.

Their sets of isolated points (atoms) have different cardinalities.



More hope

Theorem (Frankiewicz 1977)

The minimum cardinal κ (if any) such that κ^* is homeomorphic to λ^* for some $\lambda > \kappa$ must be ω .



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Theorem (Frankiewicz 1977)

The minimum cardinal κ (if any) such that κ^* is homeomorphic to λ^* for some $\lambda > \kappa$ must be ω .

Theorem (Balcar and Frankiewicz 1978)

 ω_1^* and ω_2^* are not homeomorphic.



 $\begin{array}{l} \mbox{History}\\ \mbox{Working toward } 0=1\\ \mbox{A non-trivial autohomeomorphism} \end{array}$

Our gut was not completely wrong

Corollary

If $\omega_1 \leqslant \kappa < \lambda$ then κ^* and λ^* are not homeomorphic



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Corollary

If $\omega_1 \leq \kappa < \lambda$ then κ^* and λ^* are not homeomorphic, and if $\omega_2 \leq \lambda$ then ω^* and λ^* are not homeomorphic.



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History Working toward 0 = 1A non-trivial autohomeomorphism

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Corollary

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So we are left with



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Corollary

If $\omega_1 \leq \kappa < \lambda$ then κ^* and λ^* are not homeomorphic, and if $\omega_2 \leq \lambda$ then ω^* and λ^* are not homeomorphic.

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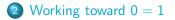
Question Are ω^* and ω_1^* ever homeomorphic?



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Outline









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History Working toward 0 = 1 A non-trivial autohomeomorphism

Consequences of 'yes'

Easiest consequence: $2^{\aleph_0} = 2^{\aleph_1}$



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those are the respective weights of ω^* and ω_1^*

(or cardinalities of $\mathcal{P}(\omega)/\text{fin}$ and $\mathcal{P}(\omega_1)/\text{fin}$).

So CH implies 'no'.



We consider the set $\omega \times \omega_1$.



We consider the set $\omega \times \omega_1$.

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We put

- $V_n = \{n\} \times \omega_1$
- $H_{\alpha} = \omega \times \{\alpha\}$
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Let $\gamma : (\omega \times \omega_1)^* \to (\omega \times \omega)^*$ be a homeomorphism. We can assume $\gamma[V_n^*] = (\{n\} \times \omega)^*$ for all n.



Choose e_{α} , for each α , such that $\gamma[E_{\alpha}^*] = e_{\alpha}^*$.



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Verify that $f_{\alpha} \leq f_{\beta}$ when $\alpha < \beta$.



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Verify that $f_{\alpha} \leq^* f_{\beta}$ when $\alpha < \beta$. If $f : \omega \to \omega$ then $e_{\alpha} \cap f$ is finite for many α and for those α we have $f <^* f_{\alpha}$.



An ω_1 -scale

Choose e_{α} , for each α , such that $\gamma[E_{\alpha}^*] = e_{\alpha}^*$. Define $f_{\alpha} : \omega \to \omega$ by

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And so $MA + \neg CH$ implies 'no'.

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Basically $x^* = h[X^*]$, where X is such that $(X \cap H_{\alpha})^* = \gamma^{\leftarrow}[x_{\alpha}^*]$ for all α .



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Basically $x^* = h[X^*]$, where X is such that $(X \cap H_{\alpha})^* = \gamma^{\leftarrow}[x_{\alpha}^*]$ for all α .

Such strong Q-sequences exist consistently (Steprans).

Even better (or worse?)

It is consistent to have



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It is consistent to have

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- a strong Q-sequence
- $2^{\aleph_0} = 2^{\aleph_1}$

simultaneously (Chodounsky).



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It is consistent to have

- $\mathfrak{d} = \omega_1$
- a strong *Q*-sequence
- $2^{\aleph_0} = 2^{\aleph_1}$

simultaneously (Chodounsky).

(Actually second implies third.)



Outline





A non-trivial autohomeomorphism



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Work with the set $D = \mathbb{Z} \times \omega_1$ — so now $\gamma : D^* \to \omega^*$.



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Then $\tau = \gamma \circ \Sigma^* \circ \gamma^{-1}$ is an autohomeomorphism of ω^* .

In fact, τ is non-trivial, i.e., there is no bijection $\sigma : a \to b$ between cofinite sets such that $\tau[x^*] = \sigma[x \cap a]^*$ for all subsets x of ω



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- $\Sigma^*[V_n^*] = V_{n+1}^*$ for all n
- if $V_n^* \subseteq C^*$ for all *n* then $E_\alpha \subseteq C$ for some α and hence $H_\alpha^* \subseteq C^*$ for all but countably many α .



How does that work?

In ω we have sets h_{α} , v_n , b_{α} and e_{α} that mirror this:



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- $v_n \subseteq^* c$ for all n and
- h_α ⊈^{*} c for uncountably many α (in fact all but countably many).



The assumption that $\tau = \sigma^*$ for some σ leads, via some bookkeeping, to a set c with the properties that

- $v_n \subseteq^* c$ for all n and
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which neatly contradicts what's on the previous slide



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Why is 'two' even possible?



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An infinite orbit must meet an h_{α} in an infinite set — and at most two of these.

Why is 'two' even possible?

If the orbit of *n* is two-sided infinite then both $\{\sigma^k(n) : k \leq 0\}^*$ and $\{\sigma^k(n) : k \geq 0\}^*$ are τ -invariant.





It follows that $h_{\alpha} \subseteq^* F$ for all but countably many α and hence $v_n \cap F$ is infinite for all n.

• each $h_{\alpha} \cap F$ is a union of finite orbits



- each $h_{\alpha} \cap F$ is a union of finite orbits
- those finite orbits have arbitrarily large cardinality



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- those finite orbits have arbitrarily large cardinality better still, the cardinalities converge to ω .
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Certainly $h_{\alpha} \setminus c$ is infinite for our co-countably many α .



Finer detail

• Write each finite orbit as $\{\sigma^k(n): -l \leq k \leq m\}$



Finer detail

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Finer detail

- Write each finite orbit as $\{\sigma^k(n) : -l \leq k \leq m\}$
- with $n \in v_0$ and $|m I| \leqslant 1$
- use $\{\sigma^k(n): -l/2 \leqslant k \leqslant m/2\}$ as a constituent of c.



This tells us that a homeomorphism between ω^* and ω_1^* must be quite complicated.



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Veličković' analysis of autohomeomorphisms of ω^* shows that the ones that are remotely describable (by Borel maps say) are trivial. Our Σ is very trivial, so it's the putative homeomorphism γ that is badly describable.



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None of which I have been able to prove

More Info

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