

# Dimension(s) of compact $F$ -spaces

Quidquid latine dictum sit, altum videtur

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Hejnice, 1. Únor, 2012: 17:30 – 18:10



## Theorem

*Let  $X$  be separable and metrizable and  $n \in \mathbb{N}$ . Then the **dimension** of  $X$  is at most  $n$  if and only if there are a zero-dimensional, separable and metrizable space  $Y$  and a closed continuous surjection  $f : Y \rightarrow X$  such that  $|f^{-1}(x)| \leq n + 1$  for all  $x \in X$ .*

One direction uses the large inductive dimension.

## Theorem

*If  $Y$  is normal and strongly zero-dimensional and  $f : Y \rightarrow X$  is closed, continuous and onto with  $|f^{-1}(x)| \leq n + 1$  for all  $x \in X$  then  $\text{Ind } X \leq n$ .*

Proof.

By induction (of course).

Given disjoint closed sets  $A$  and  $B$  in  $X$  find a closed set  $Z$  in  $Y$  such that  $f[Z]$  is a partition between and  $f \upharpoonright Z$  has fibers of size at most  $n$ .

The speaker draws an instructive picture . . .



The other direction uses the covering dimension.  
 $\dim X \leq n$  iff for every open cover  $\mathcal{U}$  of  $X$  of cardinality  $n + 2$   
there is an open refinement  $\mathcal{V} = \{V_U : U \in \mathcal{U}\}$  with  
 $\bigcap \{\text{cl } V : V \in \mathcal{V}\} = \emptyset$ .  
Refinement:  $V_U \subseteq U$  for all  $U$  and  $\bigcup \mathcal{V} = X$ .

## Theorem

*If  $X$  is compact and metrizable with  $\dim X \leq n$  then there are a zero-dimensional, compact and metrizable space  $Y$  and a continuous surjection  $F : Y \rightarrow X$  with  $|f^{\leftarrow}(x)| \leq n + 1$  for all  $x \in X$ .*

## Proof.

Idea of proof: make finite closed covers of order at most  $n + 1$ ; give these the discrete topology; take their product and let  $Y$  be a suitable subspace of that product. □



# What makes this work?

The reason we have an equivalence is the fundamental fact from dimension theory that  $\dim X = \text{ind } X = \text{Ind } X$  for all separable and metrizable  $X$ .

And the compactification theorem: a separable and metrizable space has a metric compactification with the same dimension(s).

Experience has taught us that compact  $F$ -spaces of weight  $\mathfrak{c}$  behave in many ways like compact metrizable spaces, *provided the Continuum Hypothesis holds*

Remember:  $X$  is an  $F$ -space if every finitely generated ideal in  $C^*(X)$  is principal.

Or, somewhat more topological: disjoint cozero sets are completely separated.

Or, for normal spaces: disjoint cozero sets have disjoint closures.

## Theorem (CH)

*For every compact  $F$ -space,  $X$ , of weight  $\mathfrak{c}$  we have*

$$\dim X = \text{ind } X = \text{Ind } X$$

## Proof

The inequalities  $\dim X \leq \text{ind } X \leq \text{Ind } X$  hold for every compact space.

## Proof

The interesting part is the proof of  $\text{Ind } X \leq \dim X$ .

Given disjoint closed sets  $A$  and  $B$  we build a partition,  $L$ , between them with  $\dim L \leq \dim X - 1$ .

How: we have  $\aleph_1$  many potential basic open covers of  $L$  of size  $\dim X + 1$ ; enumerate them:  $\langle \mathcal{U}_\alpha : \alpha < \omega_1 \rangle$ .

Build increasing sequences  $\langle C_\alpha : \alpha < \omega_1 \rangle$  and  $\langle D_\alpha : \alpha < \omega_1 \rangle$  of cozero sets, with  $C_\alpha \cap D_\alpha = \emptyset$  for all  $\alpha$ .

## Proof

At stage  $\alpha$ , check if  $C_\alpha \cup D_\alpha \cup \bigcup U_\alpha = X$ .

In that case take a refinement  $\{O\} \cup \mathcal{V}_\alpha$  of  $\{C_\alpha \cup D_\alpha\} \cup \mathcal{U}_\alpha$  whose closures have empty intersection.

Take  $C_{\alpha+1}$  and  $D_{\alpha+1}$  such that  $C_\alpha \cup \bigcap_{U \in \mathcal{U}_\alpha} \text{cl } V_U \subseteq C_{\alpha+1}$  and  $D_\alpha \subseteq D_{\alpha+1}$ .

Apart from some technicalities this works.

## Theorem (CH)

Let  $X$  be a compact  $F$ -space of weight  $c$ . Then  $X$  has a base  $\{B_\alpha : \alpha < \omega_1\}$  with the following property: whenever  $F$  is a finite subset of  $\omega_1$  the intersection

$$\bigcap_{\alpha \in F} \text{Fr } B_\alpha$$

has dimension at most  $\dim \text{Fr } B_{\min F} - |F| + 1$ .

It uses a simultaneous version of the proof of  $\text{Ind } X \leq \dim X$ .

In the separable metric case one can build a partition,  $L$ , such that

$$\dim(L \cap D) \leq \dim D - 1$$

for **countably many** closed sets  $D$  at once.

In the case of a compact  $F$ -space of weight  $\mathfrak{c}$ , assuming CH, you can do this in one go for  **$\aleph_1$  many** closed sets.



## Theorem (CH)

Let  $X$  be a compact  $F$ -space of weight  $\mathfrak{c}$  and dimension  $n$ . Then  $X$  has a base  $\{B_\alpha : \alpha < \omega_1\}$  with the following property:

$$\bigcap_{\alpha \in F} \text{Fr } B_\alpha = \emptyset$$

whenever  $F$  is a subset of  $\omega_1$  with  $n + 1$  elements.

# A finite-to-one map

We may assume our base consists of regular open sets  
( $B_\alpha = \text{int cl } B_\alpha$ ).

Take the Boolean subalgebra,  $B$ , of  $\text{RO}(X)$  generated by our base.  
Then the natural map from the Stone space of  $B$  onto  $X$  is (at  
most)  $2^n$ -to-one.

# A finite-to-one map

Bummer!  $2^n > n + 1$  (when  $n \geq 2$ ).

We have an other proof, with the same result:  $2^n$ .

The first question that should occur to everyone has an answer:

There is a compact  $F$ -space of weight  $\mathfrak{c}^+$  with non-coinciding dimensions (my student Jan van Mill).

This parallels the 'classic' case: there are compact spaces of weight  $\aleph_1$  with non-coinciding dimensions.

# What if CH fails?

The second question that should occur to everyone has no answer (yet).

One possibility: there are many compact spaces of weight  $\mathfrak{c}$  with non-coinciding dimensions.

# What if CH fails?

Take such a space,  $X$ , for example with  $\dim X = 1$  and  $\text{ind } X = \text{Ind } X = 2$ .

Consider  $Y = \omega \times X$  and  $Y^* = \beta Y \setminus Y$ .

By our first result we have  $\dim Y^* = \text{ind } Y^* = \text{Ind } Y^*$  if CH holds.

# What if CH fails?

Last year's tutorial:  $\dim C = \dim X = 1$  for every component  $C$  of  $Y^*$ .

Also  $\dim Y^* \leq \dim \beta Y = 1$ , so  $\dim Y^* = 1$ .

Hence(!):  $\text{Ind } Y^* = 1 < 2 = \text{Ind } \beta Y$  (if CH).

# What if CH fails?

What can be said if CH fails? In particular models where CH fails.  
Could it be that  $\text{Ind } Y^* = 2$  in some such model?  
There are many  $X$  to play with.



# What with $2^n$ ?

The third question on everyone's lips:  
can  $2^n$  be brought down to  $n + 1$ ?

(As it should be.)  
We have no idea.

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K. P. Hart, J. van Mill,

*Covering dimension and finite-to-one maps*, *Topology and its Applications*, **158** (2011), 2512–2519.



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*A compact  $F$ -space with noncoinciding dimensions*, *Topology and its Applications* **159** (2012), 1625–1633.