

THE BASIC FRAENKEL MODEL

FURTHER PROPERTIES

- A IS DEDEKIND-FINITE

FOLLOWS FROM HOMEWORK

PROBLEM 52 c); IF f IS INJECTIVETHEN THERE IS A FINITE SET E SUCH THAT $f(a) = a$ ($a \in A \setminus E$)BUT THEN $f[E] \subseteq E$ AND SO

$$f[E] = E.$$

- $\mathcal{P}(A)$ IS DEDEKIND-FINITE [HINT]

HOMEWORK [HINT: APPLY PROBLEM 52 c)]

THE SECOND FRAENKEL MODEL

PAGES ④ AND ⑤

BACK TO LAST WEEK: NORMAL IDEALS.

ASSUME A IS COUNTABLY INFINITE ANDPARTITION A INTO PAIRS: $A = \bigcup_{n \in \mathbb{N}} P_n$,WHERE $P_m = \{a_m, b_m\}$ AND $m \neq n \rightarrow P_m \cap P_n = \emptyset$.

- G THE SUBGROUP OF S_A CONSISTING OF THOSE π FOR WHICH $\pi[P_m] = P_m$ FOR ALL m .

$$\mathcal{I} = [A]^{<S>}$$

- SO, \mathcal{I} IS SYMMETRIC IFF THERE IS A FCW SUCH THAT IF $\pi(a_i) = a_i$ AND $\pi(b_i) = b_i$ FOR $i \in \mathbb{N}$ THEN $\pi(x) = x$.

- FOR ALL n WE HAVE $P_n \in \mathcal{I}$

BECAUSE $\text{Fix}_G(P_n) = G$, SO CERTAINLY $\text{Sym}_G(P_n) = G$

- $\langle P_n : n \in \mathbb{N} \rangle$ IS IN \mathcal{I}

- EACH PAIR $\langle n, P_n \rangle$ IS IN \mathcal{I} - IF $\pi \in G$ THEN

$$\begin{aligned} \pi(\langle P_n : n \in \mathbb{N} \rangle) &= \pi(\{ \langle n, P_n \rangle : n \in \mathbb{N} \}) \\ &= \{ \langle n, P_n \rangle : n \in \mathbb{N} \} \\ &= \langle P_n : n \in \mathbb{N} \rangle \end{aligned}$$

$$\text{SO } \text{Sym}_G(\langle P_n : n \in \mathbb{N} \rangle) = G.$$

CLAIM: THERE IS NO CHOICE FUNCTION
FOR $\langle P_m; \text{new} \rangle$ IN \mathcal{V} .

LET $f: W \rightarrow A$ BE A FUNCTION IN \mathcal{V}

AND LET $\{a_0, b_0, \dots, a_r, b_r\}$ BE ITS SUPPORT

SO IF $\pi(a_i) = a_i$ AND $\pi(b_i) = b_i$ FOR $i \leq r$

THEN $\pi(f) = f$

LET $m > r$ AND $\pi = (a_m b_m)$

THEN $\pi(f) = f$ AND HENCE $\pi(f(m)) = f(m)$

FOR ALL n . AS $\pi(a_m) \neq a_m$

AND $\pi(b_m) \neq b_m$ WE MUST HAVE

$f(m) \neq a_m$ AND $f(m) \neq b_m$ FOR ALL n .

SO $\text{RAN } f \subseteq \bigcup_{i \leq r} P_i$

THIS ALSO SHOWS THAT A IS DEDEKIND-
FINITE.

NOTE THAT $\mathcal{P}(A)$ IS DEDEKIND-INFINITE
[HOMEWORK]

LET $f \in \mathcal{V}$ BE A MAP FROM A TO A .

LET R BE SUCH THAT

IF $\pi(a_i) = a_i$ AND $\pi(b_i) = b_i$ FOR $i \leq r$

THEN $\pi(f) = f$.

CLAIM: IF $m > r$ THEN $f[P_m] = P_m$

OR $f[P_m] = \bigcup_{i \leq r} P_i$

[HOMEWORK]

SHOW: IF f IS SURJECTIVE THEN f IS INJECTIVE.

A GENERAL REMARK ABOUT SUPPORTS

LET A, G AND \mathcal{F} , AND HENCE \mathcal{V} , BE GIVEN

LET $C \subseteq \mathcal{V}$ BE A CLASS

CALL C SYMMETRIC IF $\text{SYM}(C) \in \mathcal{F}$

WHERE $\text{SYM}(C) = \{\pi \in G : \pi''C = C\}$

FORMALLY: C IS GIVEN BY A FORMULA,

SO WE ARE TALKING ABOUT A FORMULA φ

AND " $x \in C$ " MEANS " $\varphi(x)$ HOLDS"

AND SO $\text{SYM}(C)$ IS ACTUALLY $\text{SYM}(\varphi)$,

WHICH IS

$$\{\pi \in G : (\forall x)(\varphi(x) \Leftrightarrow \varphi(\pi(x)))\}.$$

THE FORMULA φ IS SAID TO BE SYMMETRIC

IF $\text{SYM}(\varphi) \in \mathcal{F}$.

IF φ ON C IS SYMMETRIC THEN SO IS

$$C \cap \mathcal{P}^*(A) = \{x \in \mathcal{P}^*(A) : \varphi(x)\}$$

FOR EVERY α AND SO

$$C_\alpha = \{x \in \mathcal{P}^*(A) : \varphi(x)\}$$

IS IN \mathcal{V}

LIKEWISE IF $x \in \mathcal{V}$ THEN ALSO $C \cap x \in \mathcal{V}$

LEMMA:

LET G BE A SUBGROUP OF S_A AND LET \mathcal{J}

BE A NORMAL IDEAL ON A . LET \mathcal{V} BE

THE CORRESPONDING PERMUTATION MODEL

THE CLASS

$$\text{Supp} = \{\langle E, x \rangle : E \in \mathcal{J}, x \in \mathcal{V}, \text{FIX}(E) \in \text{SYM}(x)\}$$

IS SYMMETRIC

PROOF: IF $\pi \in G$ THEN

$$\text{FIX}(\pi(E)) = \pi \cdot \text{FIX}(E) \cdot \pi^{-1} \quad \text{AND}$$

$$\text{SYM}(\pi(x)) = \pi \cdot \text{SYM}(x) \cdot \pi^{-1}$$

SO $\langle E, x \rangle \in \text{Supp}$ IFF $\langle \pi(E), \pi(x) \rangle \in \text{Supp}$

THE ORDERED MOSTOWSKI MODEL

LET A BE COUNTABLY INFINITE AND
 LET $<$ (FROM V) BE A LINEAR ORDER
 OF A ISOMORPHIC TO THE RATIONALS.

- G IS THE GROUP OF ALL ORDER-PRESERVING PERMUTATIONS OF A
- \mathcal{J} IS THE FAMILY OF FINITE SUBSETS OF A
- $\text{FIX}(A) = \{1_{0A}\}$ IS NOT IN THE FILTER DERIVED FROM \mathcal{J} ;
- $\text{SYM}(<) = G$ SO $<$ IS IN \mathcal{U}
- WE SEE A HAS A LINEAR ORDER BUT NO WELL-ORDER.
- IN FACT THERE IS A LINEAR ORDER OF THE WHOLE CLASS \mathcal{U} [ASSUMING V HAS A WELL-ORDER].
 IN PARTICULAR WE GET:
 "EVERY SET HAS A LINEAR ORDER + TAC"
 IS CONSISTENT WITH ZFA.

WE NEED TO ANALYZE THE ACTION OF THE GROUP G .

LEMMA 1 LET E AND F BE FINITE AND DISJOINT SUBSETS OF A AND LET $a, b \in A$.
 THERE IS A $\pi \in G = \langle \langle \text{FIX}_0(E) \cup \text{FIX}_0(F) \rangle \rangle$ SUCH THAT $\pi(a) = b$.

PROOF WLOG $a \neq b$ AND, SAY, $a < b$.

TAKE c AND d SUCH THAT $c < a < b < d$
 $[c, d] \cap (E \cup F) = [a, b] \cap (E \cup F)$

LET $n = |[a, b] \cap (E \cup F)|$

WE USE INDUCTION ON n .

$n = 0$

TAKE AN ISOMORPHISM $\sigma: [c, d] \rightarrow [c, d]$
 SUCH THAT $\sigma(a) = b$, [USE φ]

DEFINE $\varphi = \sigma \cup \{ \langle x, x \rangle : x \in C \vee x \geq d \}$

THEN $\varphi \in G$, $\varphi(a) = b$ AND

$\varphi \in \text{FIX}(E \cup F) \in H$.

$n \rightarrow n+1$ CASE 1a $a \in E$

TAKE $e > a$ SUCH THAT

$$[c, e] \cap (E \cup F) = \{a\}$$

TAKE AN ELEMENT $\varphi \in G$ SUCH

THAT $\varphi(x) = x$ $x \leq c \vee x \geq e$

$$\varphi(a) > a$$

NOTE: $\varphi \in \text{FIX}(F) \in H$

$$|[\varphi(a), e] \cap (E \cup F)| = n.$$

WE GET $\psi \in H$

SUCH THAT $\psi(\varphi(a)) = b$.

AND $\psi\varphi \in H$

CASE 1b $b \in E$ SIMILAR:

FIRST $\varphi \in \text{FIX}(F)$ THEN $\psi \in H$.

CASE 2a $a \in F$ SYMMETRY:

NOW $\varphi \in \text{FIX}(E)$ AND $\psi \in H$

CASE 2b $b \in F$ SIMILAR

CASE 3: $a, b \notin E \cup F$.

LET $e = \min \{ x \in E \cup F : a < x \}$

THEN $a < e < b$ BECAUSE $n+1 > 0$

TAKE $g > f > e$ SUCH THAT $g \notin E \cup F$

AND $(a, g) \cap (E \cup F) = \{e\}$

TAKE $\varphi \in G$ SUCH THAT $\varphi(a) = f$

$\varphi(x) = x$ ($x \leq c \vee x \geq g$)

THEN $\varphi \in \text{FIX}(F)$ IF $e \in E$

AND $\varphi \in \text{FIX}(E)$ IF $e \in F$

APPLY THE CASE n TO $\varphi(a)$ AND b .

$\varphi(b) < b$ AND
 $\psi(a) = \varphi(b)$ SO
 $\varphi^{-1}(\psi(a)) = a$

LEMMA 2 LET E AND F BE FINITE AND DISJOINT. LET $\{a_i : i \leq n\}$ AND $\{b_i : i \leq n\}$ BE SUBSETS OF A SUCH THAT

$$a_i < a_j \quad \text{AND} \quad b_i < b_j \quad \text{WHENEVER } i < j.$$

THEN THERE IS A $\varphi \in H = \langle \text{FIX}(E) \cup \text{FIX}(F) \rangle$ SUCH THAT $\varphi(a_i) = b_i$ FOR ALL $i \leq n$

PROOF LEMMA 1 GIVES US THE CASE $n=1$.

TO GO FROM n TO $n+1$ TAKE $\varphi \in H$ SUCH THAT $\varphi(a_i) = b_i$ FOR $i \leq n$.

• NOW WE MUST MOVE $\varphi(a_n)$ TO b_n .

CLEARLY $b_{n-1} < \varphi(a_n)$

LOOK AT THE INTERVAL $I = (b_{n-1}, \rightarrow)$

• APPLY LEMMA 1 TO THE SET

- THE SET I

- THE SETS $E \cap I$ AND $F \cap I$

- THE POINTS $\varphi(a_n)$ AND b_n

TO GET $\psi \in G$ SUCH THAT

$$- \psi(x) = x \quad x \in b_{n-1}$$

$$- \psi|_I \in \langle \text{FIX}(E \cap I) \cup \text{FIX}(F \cap I) \rangle$$

$$- \psi(\varphi(a_n)) = b_n$$

THEN $\psi \in H$ AND $\psi\varphi \in H$

$$\text{AND } \psi\varphi(a_i) = b_i \quad \text{FOR } i \leq n.$$

LEMMA 3 LET E AND F BE FINITE AND DISJOINT THEN $G = \langle \text{FIX}(E) \cup \text{FIX}(F) \rangle$.

PROOF (IF $E = \emptyset$ OR $F = \emptyset$ THEN WE'RE DONE.)

LET $\pi \in G$ AND CONSIDER $\pi[E \cup F]$

WE CAN ENUMERATE $E \cup F$ AS $\{b_i : i \leq m\}$

AND $\pi[E \cup F]$ AS $\{a_i : i \leq m\}$ SUCH

THAT $a_i < a_j$ AND $b_i < b_j$ WHENEVER $i < j$

LEMMA 2 GIVES US $\varphi \in \langle \text{FIX}(E) \cup \text{FIX}(F) \rangle$

SUCH THAT $\varphi(a_i) = b_i$ FOR ALL i .

Now note that $(\varphi \circ \pi)(c_i) = c_i$ for all i
 so that $\varphi \circ \pi \in \text{Fix}(E \cup F)$.

We find that $\pi = \varphi^{-1} \circ (\varphi \circ \pi)$ is in \langle
 $\text{in } \langle \text{Fix}(E) \cup \text{Fix}(F) \rangle$.

THEOREM LET E AND F BE FINITE.

THEN $\text{Fix}(E \cap F) = \langle \text{Fix}(E) \cup \text{Fix}(F) \rangle$.

PROOF THE SET $E \cap F$ SPLITS A INTO INTERVALS

$(\leftarrow, a_0), (a_0, a_1), \dots, (a_m, \rightarrow)$

FOR EACH SUCH INTERVAL I WE HAVE

$$(E \cap I) \cap (F \cap I) = \emptyset.$$

LET $\pi \in \text{Fix}(E \cap F)$; FOR EACH I WE HAVE

$$\pi|_I \in \langle \text{Fix}(E \cap I) \cup \text{Fix}(F \cap I) \rangle$$

$$\text{WRITE } \pi|_I = \varphi_{m_I}^I \circ \varphi_{m_I-1}^I \circ \dots \circ \varphi_1^I \circ \varphi_0^I$$

WHERE $\varphi_i^I \in \text{Fix}(E \cap I)$ IFF i IS ODD

$\varphi_i^I \in \text{Fix}(F \cap I)$ IFF i IS EVEN

WLOG $m_I = m_J$ FOR ANY TWO INTERVALS

(JUST ADD IDENTITY FUNCTIONS)

$$\text{LET } \varphi_i = \bigcup_I \varphi_i^I \cup \{\text{ID}\} : x \in E \cap F, i \leq m$$

$$\text{THEN } \pi = \varphi_m \circ \varphi_{m-1} \circ \dots \circ \varphi_1 \circ \varphi_0$$

AND $\varphi_i \in \text{Fix}(E)$ IF i IS ODD,

$\varphi_i \in \text{Fix}(F)$ IF i IS EVEN.

COROLLARY: IF α IS SYMMETRIC AND
 $\text{Fix}(E_1) \in \text{Sym}(\alpha)$ AND $\text{Fix}(E_2) \in \text{Sym}(\alpha)$
 THEN $\text{Fix}(E_1 \cap E_2) \in \text{Sym}(\alpha)$.

CONSEQUENTLY α HAS A SMALLEST SUPPORT.

THE CLASS $\{ \langle x|E \rangle : E \text{ IS THE SMALLEST SUPPORT} \}$
 OF α

IS SYMMETRIC.

IF E IS A SUPPORT OF α AND $\pi \in \text{SYM}(E)$

THEN $\pi(\alpha) = \alpha$

FOR π IS ORDER-PRESERVING SO $\pi[E] = E$
IMPLIES $\pi \in \text{FIX}(E)$ AND SO $\pi(\alpha) = \alpha$.

THERE IS A SYMMETRIC CLASS FUNCTION F
THAT IS INJECTIVE FROM \mathcal{V} TO ONX

- WE ASSUME GLOBAL CHOICE; THIS HOLDS IN \mathcal{L} .
- FOR $\alpha \in \mathcal{V}$ CONSIDER $G(\alpha) = \{ \pi(\alpha) : \pi \in G \}$
- $\text{SYM}(G(\alpha)) = G$ FOR ALL α .
- FOR ALL α AND γ WE HAVE

$$G(\alpha) = G(\gamma) \quad \text{OR} \quad G(\alpha) \cap G(\gamma) = \emptyset$$

- FROM GLOBAL CHOICE WE GET

$$F_1: \mathcal{V} \rightarrow \text{ON}$$

$$\text{SUCH THAT } G(\alpha) \cap G(\gamma) = \emptyset \rightarrow F_1(\alpha) \neq F_1(\gamma)$$

$$- G(\alpha) = G(\gamma) \rightarrow F_1(\alpha) = F_1(\gamma)$$

- DEFINE $F_2: \mathcal{V} \rightarrow \mathcal{J}$ BY

$F_2(\alpha)$ IS THE SMALLEST SUPPORT OF α .

- NOW $F = \{ \langle \alpha, F_1(\alpha), F_2(\alpha) \rangle : \alpha \in \mathcal{V} \}$

IS SYMMETRIC: IF $\langle \alpha, F_1(\alpha), F_2(\alpha) \rangle \in F$

THEN $\langle \pi(\alpha), \pi(F_1(\alpha)), \pi(F_2(\alpha)) \rangle \in F$

$$\text{FOR } \pi(F_1(\alpha)) = F_1(\alpha) = F_1(\pi(\alpha))$$

- IF E IS THE SMALLEST SUPPORT OF α
THEN $\pi(E)$ IS THE SMALLEST SUPPORT
OF $\pi(\alpha)$.

- IF $\langle F_1(\alpha), F_2(\alpha) \rangle = \langle F_1(\gamma), F_2(\gamma) \rangle$

THEN $G(\alpha) = G(\gamma)$, SO $\gamma = \pi(\alpha)$ FOR SOME π .

$$\text{BUT THEN } F_2(\alpha) = F_2(\gamma) = \pi(F_2(\alpha))$$

$$\text{BUT THEN } \alpha = \pi(\alpha) = \gamma.$$

FINALLY: \mathcal{J} HAS A LINEAR ORDER:

$$E \triangleleft F \text{ IFF } \min(F \setminus E) \in F$$

(LEXICOGRAPHIC ORDER OF CHARACTERISTIC FUNCTIONS).

SO $\mathcal{O}N \times \mathcal{J}$ HAS A LINEAR ORDER:

$$\langle \alpha, E \rangle \triangleleft \langle \beta, F \rangle \Leftrightarrow \alpha < \beta \text{ OR } \alpha = \beta \text{ AND } E \triangleleft F$$

IN THIS MODEL WE HAVE THE AXIOM OF CHOICE FOR FAMILIES OF WELL-ORDERABLE SETS.