

EXERCISES SET THEORY (09)

2022/23

On variations of \diamond , and a direct construction of a Souslin line.

1. Use suitable bijections to show that the following statements are equivalent to \diamond :
 - (1) There is a sequence $\langle A_\alpha : \alpha < \omega_1 \rangle$ of sets such that $A_\alpha \subseteq \alpha \times \alpha$ for all α , and for every subset A of $\omega_1 \times \omega_1$ the set $\{\alpha : A \cap (\alpha \times \alpha) = A_\alpha\}$ is stationary.
 - (2) There is a sequence $\langle f_\alpha : \alpha < \omega_1 \rangle$ of functions such that $f_\alpha : \alpha \rightarrow \alpha$ for all α , and for every function $f : \omega_1 \rightarrow \omega_1$ the set $\{\alpha : f \upharpoonright \alpha = f_\alpha\}$ is stationary.
 - (3) There is a sequence $\langle \langle A_\alpha, B_\alpha \rangle : \alpha < \omega_1 \rangle$ of such that $A_\alpha, B_\alpha \subseteq \alpha$ for all α , and for every ordered pair $\langle A, B \rangle$ of subsets of ω_1 the set $\{\alpha : A \cap \alpha = A_\alpha \text{ and } B \cap \alpha = B_\alpha\}$ is stationary.

2. To prove that \diamond^* implies \diamond we need an equivalent of \diamond that looks more like \diamond^* . The principle \diamond^- says: there is a sequence $\langle \mathcal{A}_\alpha : \alpha < \omega_1 \rangle$ such that \mathcal{A}_α is a countable subfamily of $\mathcal{P}(\alpha)$ for all α , and for every subset A of ω_1 the set $\{\alpha : A \cap \alpha \in \mathcal{A}_\alpha\}$ is stationary.
 - a. Verify that \diamond and \diamond^* both imply \diamond^- .

We now prove that \diamond^- implies \diamond . Let $\langle \mathcal{A}_\alpha : \alpha < \omega_1 \rangle$ be a \diamond^- -sequence.

 - b. Use a bijection to transform $\langle \mathcal{A}_\alpha : \alpha < \omega_1 \rangle$ into $\langle \mathcal{B}_\alpha : \alpha < \omega_1 \rangle$ where \mathcal{B}_α is a countable subfamily of $\mathcal{P}(\alpha \times \omega)$ for all α and for every $B \subseteq \omega_1 \times \omega$ the set $\{\alpha : B \cap (\alpha \times \omega) \in \mathcal{B}_\alpha\}$ is stationary. Enumerate every \mathcal{B}_α as $\langle B_\alpha(k) : k < \omega \rangle$.
 - c. Prove: for every $B \subseteq \omega_1 \times \omega$ there is a k such that $\{\alpha : B \cap (\alpha \times \omega) = B_\alpha(k)\}$ is stationary. Define $B_\alpha(k, n) = \{\gamma \in \alpha : \langle \gamma, n \rangle \in B_\alpha(k)\}$.
 - d. Prove: there is an n such that $\langle B_\alpha(n, n) : \alpha < \omega_1 \rangle$ is a \diamond -sequence. *Hint:* Assume not and choose a counterexample X_n for every n . Let $X = \bigcup_{n < \omega} (X_n \times \{n\})$ and show that part c would fail for X .

3. We construct a Souslin line directly, using \diamond , in two steps. First we build a linear order \triangleleft on ω_1 with the following properties

- (1) it is a dense order without first or last elements,
- (2) all closed nowhere dense sets are countable, and
- (3) it is not separable.

Then the Dedekind completion of $(\omega_1, \triangleleft)$ is a counterexample to Souslin's question. A set K is closed if for every $x \in \omega_1 \setminus K$ there is an interval (u, v) that contains x and is disjoint from K . A set closed K is nowhere dense if there is not non-trivial interval (u, v) that is contained in K .

a. Prove that property (2) implies that pairwise disjoint families of open intervals are countable.

Hint: By Zorn's Lemma assume that $\{(u_i, v_i) : i \in I\}$ is a *maximal* pairwise disjoint family of open intervals in $(\omega_1, \triangleleft)$. Show that $K = \omega_1 \setminus \bigcup_{i \in I} (u_i, v_i)$ is closed and nowhere dense, and that $u_i, v_i \in K$ for all i .

Let $\langle A_\alpha : \alpha < \omega_1 \rangle$ be a \diamond -sequence. We construct linear orders \triangleleft_α on $\omega \cdot \alpha$ for all α such that $(\omega \cdot \alpha, \triangleleft_\alpha)$ is isomorphic to \mathbb{Q} , and such that \triangleleft_α extends \triangleleft_β whenever $\beta < \alpha$.

To begin let \triangleleft_1 order ω via some bijection with \mathbb{Q} . If α is a limit let $\triangleleft_\alpha = \bigcup_{\beta < \alpha} \triangleleft_\beta$.

b. Show that $(\omega \cdot \alpha, \triangleleft_\alpha)$ is isomorphic with \mathbb{Q} .

For the successor step we need to look at \mathbb{Q} and \mathbb{R} .

c. Prove: if $K \subseteq \mathbb{Q}$ is closed and nowhere dense in \mathbb{Q} then its closure in \mathbb{R} is closed and nowhere dense in \mathbb{R} .

d. Prove (or remember) the Baire Category Theorem: if $\{K_n : n < \omega\}$ is a family of closed and nowhere dense subsets of \mathbb{R} then $\mathbb{R} \setminus \bigcup_n K_n$ is dense in \mathbb{R} .

e. Deduce: if $\{K_n : n < \omega\}$ is a family of closed and nowhere dense subsets of \mathbb{R} then there are irrational numbers in $\mathbb{R} \setminus \bigcup_n K_n$. *Hint:* If $q \in \mathbb{Q}$ then $\{q\}$ is nowhere dense.

Assume \triangleleft_α is given such that $(\omega \cdot \alpha, \triangleleft_\alpha)$ is isomorphic to \mathbb{Q} . A *Dedekind cut* in $(\omega \cdot \alpha, \triangleleft_\alpha)$ is a pair of subsets such that $A \cup B = \omega \cdot \alpha$, if $a \in A$ and $b \in B$ then $a \triangleleft_\alpha b$, A has no maximum, and B has no minimum.

f. Show that there is a Dedekind cut (A, B) in $(\omega \cdot \alpha, \triangleleft_\alpha)$ such that for every $\beta \leq \alpha$: if A_β is closed and nowhere dense in $(\omega \cdot \alpha, \triangleleft_\alpha)$ then there are $a \in A$ and $b \in B$ such that $(a, b) \cap A_\beta = \emptyset$.

Hint: Via an isomorphism with \mathbb{Q} find an irrational number that is not in the closure (in \mathbb{R}) of any A_β , with $\beta \leq \alpha$, that is closed and nowhere dense in $(\omega \cdot \alpha, \triangleleft_\alpha)$.

Now order the set $I_\alpha = \{\omega \cdot \alpha + n : n$

$\in \omega\}$ isomorphic with \mathbb{Q} and insert it between A and B : $a \triangleleft_{\alpha+1} x \triangleleft_{\alpha+1} b$ whenever $a \in A$, $x \in I_\alpha$, and $b \in B$.

g. Show that $\triangleleft_{\alpha+1}$ orders $\omega \cdot (\alpha + 1)$ isomorphic with \mathbb{Q} .

In the end let $\triangleleft = \bigcup_{\alpha < \omega_1} \triangleleft_\alpha$.

h. Show that $(\omega_1, \triangleleft)$ has properties (1), (2), and (3). *Hint:* For (2): if A is closed and nowhere dense then show that $\{\alpha : A \cap \omega \cdot \alpha \text{ is closed and nowhere dense in } (\omega \cdot \alpha, \triangleleft_\alpha)\}$ is cub. For (3): the interval I_α (and anything inserted into it later) shows that $\omega \cdot \alpha$ will not be a dense subset in the final order.