

*B*–convexity, the analytic Radon-Nikodym property,  
and individual stability of  $C_0$ –semigroups

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Let  $\mathbf{T} = \{T(t)\}_{t \geq 0}$  be a  $C_0$ -semigroup on a Banach space  $X$ , with generator  $A$  and growth bound  $\omega$ . Assume that  $x_0 \in X$  is such that the local resolvent  $\lambda \mapsto R(\lambda, A)x_0$  admits a bounded holomorphic extension to the right half-plane  $\{\operatorname{Re} \lambda > 0\}$ . We prove the following results:

- (i) If  $X$  has Fourier type  $p \in (1, 2]$ , then  $\lim_{t \rightarrow \infty} \|T(t)(\lambda_0 - A)^{-\beta}x_0\| = 0$  for all  $\beta > \frac{1}{p}$  and  $\lambda_0 > \omega$ .
- (ii) If  $X$  has the analytic RNP, then  $\lim_{t \rightarrow \infty} \|T(t)(\lambda_0 - A)^{-\beta}x_0\| = 0$  for all  $\beta > 1$  and  $\lambda_0 > \omega$ .
- (iii) If  $X$  is arbitrary, then  $\operatorname{weak}\text{-}\lim_{t \rightarrow \infty} T(t)(\lambda_0 - A)^{-\beta}x_0 = 0$  for all  $\beta > 1$  and  $\lambda_0 > \omega$ .

As an application we prove a Tauberian theorem for the Laplace transform of functions with values in a  $B$ –convex Banach space.

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## 0. Introduction

In this paper we address the problem to find sufficient conditions on the local spectra of individual orbits of a  $C_0$ -semigroup  $\mathbf{T} = \{T(t)\}_{t \geq 0}$  to ensure their strong convergence to zero. In recent work [1,2,9,15] it has become increasingly clear that most of the ‘global’ stability theory can be localized to individual orbits  $T(\cdot)x$  by replacing the assumptions on the spectrum of the generator  $A$  to assumptions of the local spectrum of  $A$  at  $x$ .

For example, it has been proved by Weis and Wrobel [22] that  $\mathbf{T}$  is exponentially stable, i.e. there exist  $M > 0$  and  $\omega > 0$  such that  $\|T(t)x\| \leq Me^{-\omega t}\|x\|_{D(A)}$  for all  $x \in D(A)$ , if the resolvent  $R(\lambda, A) = (\lambda - A)^{-1}$  exists and is uniformly bounded in the right half-plane  $\{\operatorname{Re} \lambda > 0\}$ . A little later and independently, in [15] the following local version of this result was proved: if  $x_0 \in X$  is such that the map  $\lambda \mapsto R(\lambda, A)x_0$  admits a bounded holomorphic extension to  $\{\operatorname{Re} \lambda > 0\}$ , then for each  $\lambda_0 \in \varrho(A)$  there exists a constant  $M > 0$  such that

$$\|T(t)R(\lambda_0, A)x_0\| \leq M(1+t), \quad t \geq 0.$$

By a standard resolvent expansion argument, the Weis-Wrobel result is an immediate consequence of this. In [9], for Hilbert spaces it was proved that actually

$$\lim_{t \rightarrow \infty} \|T(t)R(\lambda_0, A)x_0\| = 0.$$

In this paper, we extend the result of [9] into various directions.

Let  $p \in [1, 2]$ . A Banach space  $X$  has *Fourier type  $p$*  if the Fourier transform extends to a bounded linear operator from  $L^p(\mathbb{R}, X)$  into  $L^q(\mathbb{R}, X)$ ,  $\frac{1}{p} + \frac{1}{q} = 1$ . Trivially, every Banach space has Fourier type  $p = 1$ , but certain spaces have non-trivial Fourier type; see Section 1.

A Banach space  $X$  has the *analytic Radon-Nikodym property* if for every  $f \in H^p(D, X)$ , the Hardy space of all  $X$ -valued holomorphic functions on the unit disc  $D$ , the radial limits  $\lim_{r \uparrow 1} f(re^{i\theta})$  exist for almost all  $\theta \in [0, 2\pi]$ . This property will be discussed in more detail in Section 2.

Our main results read as follows.

**Theorem 0.1.** *Let  $X$  be a Banach space with Fourier type  $p \in (1, 2]$  and let  $A$  be the generator of a  $C_0$ -semigroup  $\mathbf{T}$  on  $X$ . If  $x_0 \in X$  is such that the map  $\lambda \mapsto R(\lambda, A)x_0$  admits a bounded holomorphic extension in the open right half-plane, then for all  $\beta > \frac{1}{p}$  and  $\lambda_0 > \omega_0(\mathbf{T})$  we have*

$$\lim_{t \rightarrow \infty} \|T(t)(\lambda_0 - A)^{-\beta}x_0\| = 0.$$

**Theorem 0.2.** *Let  $X$  be a Banach space with the analytic Radon-Nikodym property and let  $A$  be the generator of a  $C_0$ -semigroup  $\mathbf{T}$  on  $X$ . If  $x_0 \in X$  is such that the map  $\lambda \mapsto R(\lambda, A)x_0$  admits a bounded holomorphic extension in the open right half-plane, then for all  $\beta > 1$  and  $\lambda_0 > \omega_0(\mathbf{T})$  we have*

$$\lim_{t \rightarrow \infty} \|T(t)(\lambda_0 - A)^{-\beta} x_0\| = 0.$$

**Theorem 0.3.** *Let  $A$  be the generator of a  $C_0$ -semigroup  $\mathbf{T}$  on an arbitrary Banach space  $X$ . If  $x_0 \in X$  is such that the map  $\lambda \mapsto R(\lambda, A)x_0$  admits a bounded holomorphic extension in the open right half-plane, then for all  $\beta > 1$  and  $\lambda_0 > \omega_0(\mathbf{T})$  we have*

$$\text{weak-} \lim_{t \rightarrow \infty} T(t)(\lambda_0 - A)^{-\beta} x_0 = 0.$$

In these results,  $\omega_0(\mathbf{T})$  denotes the growth bound of  $\mathbf{T}$ , i.e. the infimum of all  $\omega \in \mathbb{R}$  such that  $\|T(t)\| \leq M e^{\omega t}$  for some  $M > 0$  and all  $t \geq 0$ . The restriction to real  $\lambda_0$  is not essential; by a standard rescaling argument the same results hold for  $\lambda_0 \in \mathbb{C}$  with  $\text{Re } \lambda_0 > \omega_0(\mathbf{T})$ .

We also present a simple example which shows that  $\lim_{t \rightarrow \infty} \|T(t)(\lambda_0 - A)^{-\beta} x_0\| = 0$  may fail for all  $\beta \geq 0$  if no restrictions on the Banach space  $X$  are imposed.

The paper is organized as follows. In Section 1 we prove Theorems 0.1 and 0.3 and give a simple application to Tauberian theory for the Laplace transform of functions with values in a Banach space with non-trivial Fourier type. In Section 2 we present the proof of Theorem 0.2 and a second proof of Theorem 0.3.

## 1. Stability and $B$ -convexity

Let  $A$  be a closed, densely defined operator in a Banach space  $X$  such that  $(0, \infty) \subset \varrho(A)$ , the resolvent set of  $A$ , and assume that there is a constant  $M > 0$  such that

$$\|R(\lambda, A)\| \leq \frac{M}{1 + \lambda}, \quad \lambda > 0. \quad (1.1)$$

As is well-known, fractional powers of  $-A$  can be defined, and for  $0 < \beta < 1$  we have the representation

$$(-A)^{-\beta} x = \frac{\sin \pi \beta}{\pi} \int_0^\infty t^{-\beta} R(t, A)x dt, \quad x \in X. \quad (1.2)$$

For the theory of fractional powers the reader is referred to [21].

If  $A$  is the generator of a  $C_0$ -semigroup  $\mathbf{T}$ , then for all  $\lambda_0 > \omega_0(\mathbf{T})$  the operator  $A - \lambda_0$  satisfies an estimate of the type (1.1), and the fractional powers of  $\lambda_0 - A$  are well-defined. We assume that the reader is familiar with the elementary theory of  $C_0$ -semigroups; we refer to [14,17].

Let  $p \in [1, 2]$ . A Banach space  $Y$  has *Fourier type  $p$*  if the  $Y$ -valued Hausdorff-Young theorem holds, i.e. if the Fourier transform extends to a bounded linear operator from  $L^p(\mathbb{R}, Y)$  into  $L^q(\mathbb{R}, Y)$ ,  $\frac{1}{p} + \frac{1}{q} = 1$ . Here, as usual, for  $f \in L^p(\mathbb{R}, Y) \cap L^1(\mathbb{R}, Y)$ , the Fourier transform  $\mathcal{F}f$  is defined by

$$\mathcal{F}f(s) := \int_{-\infty}^{\infty} e^{-ist} f(t) dt, \quad s \in \mathbb{R}.$$

Every Banach space has Fourier type 1 but only Banach spaces which are isomorphic to Hilbert spaces have Fourier type 2 [12]. The classical spaces  $L^p(\mu)$  have Fourier type  $\min\{p, q\}$ ,  $\frac{1}{p} + \frac{1}{q} = 1$  [18].

A Banach space  $Y$  is called  *$B$ -convex* if  $Y$  does not contain the spaces  $l_1^n$  uniformly, or equivalently, if it has non-trivial type, i.e. if it has type  $p$  for some  $p \in (1, 2]$ . The spaces  $L^p(\mu)$  are  $B$ -convex and more generally, every Lebesgue-Bochner space  $L^p(\mu, Y)$  with  $Y$   $B$ -convex is  $B$ -convex (cf. [13, p. 247]) and every uniformly convex Banach space is  $B$ -convex. For more details the reader should consult [19]. Every  $B$ -convex Banach space has non-trivial Fourier type, i.e. Fourier type  $p$  for some  $p \in (1, 2]$  [4], and conversely it is easy to show that a space with non-trivial Fourier type is  $B$ -convex (cf. [3, p. 354]).

In most of the results of this section, we investigate the behaviour of the map  $t \mapsto PT(t)(\lambda_0 - A)^{-\beta}x_0$ , assuming certain growth conditions on  $\lambda \mapsto PR(\lambda, A)x_0$ ; here,  $P$  is an arbitrary bounded linear operator from  $X$  into some  $B$ -convex Banach space  $Y$ . Although we are primarily interested in the case  $Y = X$  and  $P = I$ , this slightly more general setting allows the following applications:

- Taking  $Y = \mathbb{C}$  and  $P = x^* \in X^*$  we obtain weak analogues of our results;
- We may consider the translation semigroup on  $X = BUC(\mathbb{R}_+, Y)$  and the map  $P : X \rightarrow Y$ ,  $Pf := f(0)$ . In this way the asymptotic behaviour of  $Y$ -valued  $BUC$ -functions can be studied via semigroup techniques;
- It may be possible to apply our results to matrix semigroups, taking for  $P$  a coordinate projection. Matrix semigroups arise, e.g., in the study of delay equations and higher order abstract Cauchy problems.

The first lemma imposes no restrictions on the Fourier type of  $Y$ .

**Lemma 1.1.** *Let  $X$  and  $Y$  be Banach spaces and let  $P : X \rightarrow Y$  be a bounded linear operator. Let  $A$  be the generator of a  $C_0$ -semigroup  $\mathbf{T}$  on  $X$  and let  $x_0 \in X$  be*

such that the map  $\lambda \mapsto PR(\lambda, A)x_0$  admits a holomorphic extension  $F(\lambda)$  in the open right half-plane. Suppose there exist  $\omega_0 > \max\{0, \omega_0(\mathbf{T})\}$ ,  $M > 0$ , and  $\alpha \in [-1, \infty)$  such that

$$\|F(\lambda)\| \leq M(1 + |\lambda|)^\alpha, \quad 0 < \operatorname{Re} \lambda < \omega_0.$$

Fix  $\lambda_0 > \max\{0, \omega_0(\mathbf{T})\}$ . For all  $\beta \geq 0$  with  $\beta > \alpha$  the function  $\lambda \mapsto PR(\lambda, A)(\lambda_0 - A)^{-\beta}x_0$  ( $\operatorname{Re} \lambda > \omega_0(\mathbf{T})$ ) admits a holomorphic extension  $g(\lambda)$  in the open right half-plane, and for all  $\omega_1 \in (0, \min\{\omega_0, \lambda_0\})$  there exists a constant  $C > 0$  such that

$$\|g(\lambda)\| \leq C(1 + |\lambda|)^{\max\{\alpha - \beta, -1\}}, \quad 0 < \operatorname{Re} \lambda < \omega_1. \quad (1.3)$$

*Proof:* Fix  $\lambda_0 > \max\{0, \omega_0(\mathbf{T})\}$  and  $0 < \omega_1 < \min\{\omega_0, \lambda_0\}$ . Upon replacing  $\omega_0$  by some smaller number and  $\omega_1$  by a larger, we may assume that  $\max\{0, \omega_0(\mathbf{T})\} < \omega_1 < \omega_0 < \lambda_0$ .

Let  $\beta = n + \delta$  with  $n \in \mathbb{N}$  and  $0 \leq \delta < 1$  and put  $y_0 := R(\lambda_0, A)^n x_0$ . In view of the identity

$$R(\lambda, A)y_0 = \frac{R(\lambda, A)x_0}{(\lambda_0 - \lambda)^n} - \sum_{k=0}^{n-1} \frac{R(\lambda_0, A)^{k+1}x_0}{(\lambda_0 - \lambda)^{n-k}},$$

the map  $\lambda \mapsto PR(\lambda, A)y_0$  admits a holomorphic extension  $F_1(\lambda)$  to  $\{\operatorname{Re} \lambda > 0\}$  which satisfies

$$\|F_1(\lambda)\| \leq M'(1 + |\lambda|)^{\max\{\alpha - n, -1\}}, \quad 0 < \operatorname{Re} \lambda < \omega_0, \quad (1.4)$$

for some constant  $M' > 0$ .

If  $\delta = 0$  (so  $\beta = n$ ), then  $g = F_1$  and the proof is complete. Therefore, in the rest of the proof we will assume that  $\delta \in (0, 1)$ .

We have

$$g(\lambda) = PR(\lambda, A)(\lambda_0 - A)^{-\beta}x_0 = PR(\lambda, A)(\lambda_0 - A)^{-\delta}y_0, \quad \operatorname{Re} \lambda > \omega_0(\mathbf{T}).$$

Hence by (1.2) and the resolvent identity, for  $\operatorname{Re} \lambda > \omega_0(\mathbf{T})$  we have

$$\begin{aligned} g(\lambda) &= \frac{\sin \pi \delta}{\pi} \int_0^\infty t^{-\delta} PR(\lambda, A)R(\lambda_0 + t, A)y_0 dt \\ &= \frac{\sin \pi \delta}{\pi} \int_0^\infty t^{-\delta} \frac{PR(\lambda, A)y_0 - PR(\lambda_0 + t, A)y_0}{t + \lambda_0 - \lambda} dt. \end{aligned}$$

Passing to the holomorphic extension, we see that

$$g(\lambda) = \frac{\sin \pi \delta}{\pi} \int_0^\infty t^{-\delta} \frac{F_1(\lambda) - F_1(\lambda_0 + t)}{t + \lambda_0 - \lambda} dt; \quad (1.5)$$

by (1.4) and the fact that  $\alpha < \beta = n + \delta$  this integral converges absolutely and defines a holomorphic extension of  $g$  in the strip  $\{0 < \operatorname{Re} \lambda < \lambda_0\}$ .

For  $\omega > 0$  consider the functions  $g_\omega : \mathbb{R} \rightarrow Y$  defined by

$$g_\omega(s) := g(\omega - is), \quad s \in \mathbb{R}.$$

Then  $g_\omega(s) = PR(\omega - is, A)(\lambda_0 - A)^{-\beta} x_0$  for  $\omega > \omega_0(\mathbf{T})$ . Noting that  $\|R(\lambda, A)\| \leq \operatorname{const} \cdot (\operatorname{Re} \lambda - \omega_0)^{-1}$  for all  $\operatorname{Re} \lambda > \lambda_0$ , we see that  $c := \sup_{\tau \geq \lambda_0} \tau \|F_1(\tau)\| < \infty$ . Hence by (1.4) and (1.5), for all  $0 < \omega < \omega_1$  and  $s \in \mathbb{R}$  we have

$$\begin{aligned} \|g_\omega(s)\| &\leq \frac{\sin \pi \delta}{\pi} \int_0^\infty t^{-\delta} \frac{M'(1 + (\omega^2 + s^2)^{\frac{1}{2}})^{\max\{\alpha-n, -1\}} + c(\lambda_0 + t)^{-1}}{((t + \lambda_0 - \omega)^2 + s^2)^{\frac{1}{2}}} dt \\ &\leq \operatorname{const} \cdot (1 + s^2)^{\frac{1}{2} \cdot \max\{\alpha-n-\delta, -1\}}, \end{aligned}$$

where the constant is independent of  $s \in \mathbb{R}$  and  $\omega \in (0, \omega_1)$ .  $////$

We can now state and prove the first main result.

**Theorem 1.2.** *Let  $P$  be a bounded linear operator from a Banach space  $X$  into a Banach space  $Y$  with Fourier type  $p \in (1, 2]$ . Let  $A$  be the generator of a  $C_0$ -semigroup  $\mathbf{T}$  on  $X$  and let  $x_0 \in X$  be such that the map  $\lambda \mapsto PR(\lambda, A)x_0$  admits a holomorphic extension  $F(\lambda)$  in the open right half-plane. If there exist  $\omega_0 > \max\{0, \omega_0(\mathbf{T})\}$ ,  $M > 0$ , and  $\alpha \in [-1, \infty)$  such that*

$$\|F(\lambda)\| \leq M(1 + |\lambda|)^\alpha, \quad 0 < \operatorname{Re} \lambda < \omega_0,$$

then for all  $\beta \geq 0$  with  $\beta > \alpha + \frac{1}{p}$  and all  $\lambda_0 > \omega_0(\mathbf{T})$  we have

$$PT(\cdot)(\lambda_0 - A)^{-\beta} x_0 \in L^q(\mathbb{R}_+, Y), \quad \frac{1}{p} + \frac{1}{q} = 1.$$

*Proof:* Without loss of generality we may assume that  $\omega_0(\mathbf{T}) \geq 0$ . Fix  $\lambda_0 > \omega_0(\mathbf{T})$ . By taking a smaller value of  $\omega_0$ , we may furthermore assume that  $\omega_0(\mathbf{T}) < \omega_0 < \lambda_0$ . Fix  $\omega_1 \in (\omega_0(\mathbf{T}), \omega_0)$ .

Let the functions  $g_\omega$  be defined as in the proof of Lemma 1.1. In view of  $\beta - \alpha > \frac{1}{p}$  and  $p > 1$  the estimate obtained there shows that  $g_\omega \in L^p(\mathbb{R}, Y)$ , uniformly for  $\omega \in (0, \omega_1)$ . Let  $C := \sup_{0 < \omega < \omega_1} \|g_\omega\|_p$ .

Since  $Y$  has Fourier type  $p$ , the Fourier transform  $G_\omega := \frac{1}{2\pi} \mathcal{F}g_\omega$  of  $g_\omega$  defines an element of  $L^q(\mathbb{R}, Y)$ .

Let  $\omega \in (0, \omega_1)$  be fixed. We claim that

$$G_\omega(t) = e^{-\omega t} PT(t)(\lambda_0 - A)^{-\beta} x_0 \quad \text{for a.a. } t > 0.$$

To see this we define, for each  $r > 0$ ,  $g_{\omega,r} := g_{\omega} \cdot \chi_{[-r,r]}$ . Then  $\lim_{r \rightarrow \infty} g_{\omega,r} = g_{\omega}$  in the norm of  $L^p(\mathbb{R}, Y)$ , so for the Fourier transforms  $G_{\omega,r} = \frac{1}{2\pi} \mathcal{F}g_{\omega,r}$  we have  $\lim_{r \rightarrow \infty} G_{\omega,r} = G_{\omega}$  in  $L^q(\mathbb{R}, Y)$ . Let  $\Gamma$  be the rectangle spanned by the points  $\omega - ir$ ,  $\omega + ir$ ,  $\omega_0 + ir$ , and  $\omega_0 - ir$ . By Cauchy's theorem, for all  $t > 0$  we have

$$\begin{aligned} \frac{1}{2\pi i} \int_{\omega-ir}^{\omega+ir} e^{zt} g(z) dz &= \frac{1}{2\pi i} \int_{\omega_0-ir}^{\omega_0+ir} e^{zt} g(z) dz + R_r(t) \\ &= \frac{1}{2\pi i} \int_{\omega_0-ir}^{\omega_0+ir} e^{zt} PR(z, A)(\lambda_0 - A)^{-\beta} x_0 dz + R_r(t), \end{aligned} \quad (1.6)$$

where  $R_r(t)$  represents the integrals over the two horizontal parts of  $\Gamma$ . From (1.3) we see that  $\lim_{r \rightarrow \infty} \|R_r(t)\| = 0$  for all  $t > 0$ . Also, by the complex inversion theorem for the Laplace transform, the Cesàro means of the integral on the right hand side in (1.6) converge to  $PT(t)(\lambda_0 - A)^{-\beta} x_0$  as  $r \rightarrow \infty$ ; here we use that  $\omega_0 > \omega_0(\mathbf{T})$ . It follows that for all  $t > 0$ ,

$$\lim_{m \rightarrow \infty} \frac{1}{m} \int_0^m \frac{1}{2\pi i} \int_{\omega-ir}^{\omega+ir} e^{zt} g(z) dz dr = PT(t)(\lambda_0 - A)^{-\beta} x_0. \quad (1.7)$$

On the other hand, for  $t > 0$  we have

$$G_{\omega,r}(t) = \frac{1}{2\pi} \int_{-r}^r e^{-ist} g(\omega - is) ds = \frac{1}{2\pi i} e^{-\omega t} \int_{\omega-ir}^{\omega+ir} e^{zt} g(z) dz \quad (1.8)$$

It follows from (1.7) and (1.8) that

$$\begin{aligned} \lim_{m \rightarrow \infty} \left( \left( \frac{1}{m} \int_0^m G_{\omega,r} dr \right) (t) \right) &= \lim_{m \rightarrow \infty} \frac{1}{m} \int_0^m G_{\omega,r}(t) dr \\ &= e^{-\omega t} PT(t)(\lambda_0 - A)^{-\beta} x_0 \end{aligned}$$

for all  $t > 0$ . In the first identity we used the fact that the map  $r \mapsto G_{\omega,r}$  is continuous as a map into  $C_0(\mathbb{R}, Y)$  by the Riemann-Lebesgue lemma. Therefore the integrals with respect to  $r$  can be regarded as Bochner integrals in  $C_0(\mathbb{R}, Y)$  and we may use the continuity of point evaluations.

We also have

$$\lim_{m \rightarrow \infty} \left( \frac{1}{m} \int_0^m G_{\omega,r} dr \right) = \lim_{r \rightarrow \infty} G_{\omega,r} = G_{\omega}$$

in the norm of  $L^q(\mathbb{R}, Y)$ . Since norm convergent sequences have pointwise a.e. convergent subsequences, we see that  $G_{\omega}(t) = e^{-\omega t} PT(t)(\lambda_0 - A)^{-\beta} x_0$  for almost all  $t > 0$  and the claim is proved.



It follows that  $t \mapsto e^{-\omega t} PT(t)(\lambda_0 - A)^{-\beta} x_0$  defines an element of  $L^q(\mathbb{R}_+, Y)$  and

$$\|e^{-\omega(\cdot)^q} PT(\cdot)(\lambda_0 - A)^{-\beta} x_0\|_q \leq \|G_\omega\|_q \leq \frac{c_p}{2\pi} \|g_\omega\|_p \leq \frac{c_p C}{2\pi}.$$

By the monotone convergence theorem, upon letting  $\omega \downarrow 0$  we obtain

$$\|PT(\cdot)(\lambda_0 - A)^{-\beta} x_0\|_q \leq \frac{c_p C}{2\pi}.$$

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For  $\alpha = 0$ , this gives Theorem 0.1.

Let  $x_0 \in X$  and  $x_0^* \in X^*$  be such that the map  $\lambda \mapsto \langle x_0^*, R(\lambda, A)x_0 \rangle$  admits a bounded holomorphic extension to  $\{\operatorname{Re} \lambda > 0\}$ . Taking  $Y = \mathbb{C}$  and  $P = x_0^*$ , Theorem 1.2 shows that

$$\int_0^\infty |\langle x_0^*, T(t)(\lambda_0 - A)^{-\beta} x_0 \rangle|^q < \infty$$

for all  $p \in (1, 2]$ ,  $\beta > \frac{1}{p}$ ;  $\frac{1}{p} + \frac{1}{q} = 1$ . This is an individual version of [16, Theorem 5.1], and this observation can be used to show that for  $\alpha = 0$  and  $p = 2$ , the bound  $\beta > \alpha + \frac{1}{p}$  ( $= \frac{1}{2}$ ) in Theorem 1.2 is optimal in the sense that a counterexample exists for all  $\beta \in [0, \frac{1}{2})$ . Indeed, assume that the theorem holds for  $\alpha = 0$ ,  $p = 2$  and some  $\beta \geq 0$ . Suppose that  $\mathbf{T}$  is a  $C_0$ -semigroup on a Banach space  $X$  whose resolvent  $R(\lambda, A)$  is uniformly bounded in  $\{\operatorname{Re} \lambda > 0\}$ . Let  $\lambda_0 > \max\{0, \omega_0(\mathbf{T})\}$ . Then by the observation just made,

$$\int_0^\infty |\langle x^*, T(t)(\lambda_0 - A)^{-\beta} x \rangle|^2 < \infty, \quad \forall x \in X, x^* \in X^*.$$

For each  $x \in X$  and  $x^* \in X^*$  put

$$f_{x,x^*}(t) := \langle x^*, T(t)(\lambda_0 - A)^{-\beta} x \rangle, \quad t \geq 0.$$

Then  $f_{x,x^*} \in L^2(\mathbb{R}_+)$  and by general considerations involving the closed graph theorem there exists a constant  $C > 0$  such that  $\|f_{x,x^*}\|_2 \leq C\|x\| \cdot \|x^*\|$  for all  $x \in X$  and  $x^* \in X^*$ . By the Plancherel theorem,  $s \mapsto \langle x^*, R(is, A)(\lambda_0 - A)^{-\beta} x \rangle \in L^2(\mathbb{R})$ . Hence for all  $\gamma > \frac{1}{2}$  and  $\omega > 0$ , by Hölder's inequality the function

$$g_{\omega,x,x^*}(s) := (\omega + is)^{-\gamma} \langle x^*, R(-is, A)(\lambda_0 - A)^{-\beta} x \rangle$$

belongs to  $L^1(\mathbb{R})$ . In particular, the Fourier transforms  $\mathcal{F}g_{\omega,x,x^*}$  are bounded.

*Claim:*  $\frac{1}{2\pi} \mathcal{F}g_{\omega,x,x^*}(t) = \langle x^*, T(t)(\omega - A)^{-\gamma}(\lambda_0 - A)^{-\beta} x \rangle$  for all  $t > 0$ .

Indeed, for  $t > 0$  we have, with  $A_\omega := A - \omega$ ,

$$\begin{aligned} \frac{1}{2\pi} \mathcal{F}g_{\omega, x, x^*}(t) &= \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{-ist} (\omega + is)^{-\gamma} \langle x^*, R(-is, A)(\lambda_0 - A)^{-\beta} x \rangle ds \\ &= \frac{1}{2\pi i} e^{\omega t} \int_{\operatorname{Re} \lambda = -\omega} e^{\lambda t} (-\lambda)^{-\gamma} \langle x^*, R(\lambda, A_\omega)(\lambda_0 - A)^{-\beta} x \rangle d\lambda \end{aligned}$$

If  $x \in D(A) = D(A_\omega)$ , then by [16, Lemma 3.3] the right most hand equals

$$e^{\omega t} \langle x^*, T_\omega(t)(-A_\omega)^{-\gamma} (\lambda_0 - A)^{-\beta} x \rangle = \langle x^*, T(t)(\omega - A)^{-\gamma} (\lambda_0 - A)^{-\beta} x \rangle,$$

where  $T_\omega(t) := e^{-\omega t} T(t)$ . For general  $x \in X$ , we choose a sequence  $x_n \rightarrow x$  with  $x_n \in D(A)$  for all  $n$ . Then  $f_{x_n, x^*} \rightarrow f_{x, x^*}$  in  $L^2(\mathbb{R}_+)$  for all  $x^* \in X^*$ , hence  $g_{\omega, x_n, x^*} \rightarrow g_{\omega, x, x^*}$  in  $L^1(\mathbb{R})$ , and so  $\mathcal{F}g_{\omega, x_n, x^*} \rightarrow \mathcal{F}g_{\omega, x, x^*}$  in  $C_0(\mathbb{R})$ . Therefore, for all  $t > 0$ ,

$$\begin{aligned} \frac{1}{2\pi} \mathcal{F}g_{\omega, x, x^*}(t) &= \lim_{n \rightarrow \infty} \frac{1}{2\pi} \mathcal{F}g_{\omega, x_n, x^*}(t) \\ &= \lim_{n \rightarrow \infty} \langle x^*, T(t)(\omega - A)^{-\gamma} (\lambda_0 - A)^{-\beta} x_n \rangle \\ &= \langle x^*, T(t)(\omega - A)^{-\gamma} (\lambda_0 - A)^{-\beta} x \rangle. \end{aligned}$$

This proves the claim.

It follows that  $t \mapsto \langle x^*, T(t)(\omega - A)^{-\gamma} (\lambda_0 - A)^{-\beta} x \rangle$  is bounded, and since this is true for all  $x \in X$ ,  $x^* \in X^*$ , and  $\gamma > \frac{1}{2}$ , the uniform boundedness theorem and standard arguments involving fractional powers show that

$$\sup_{t \geq 0} \|T(t)(\lambda_0 - A)^{-\beta - \gamma}\| < \infty$$

for all  $\gamma > \frac{1}{2}$ . On the other hand, in [22] for each  $\delta \in [0, 1)$  an example of a  $C_0$ -semigroup  $\mathbf{T}$  is given which has uniformly bounded resolvent in the right half-plane and satisfies

$$\limsup_{t \rightarrow \infty} \|T(t)(\lambda_0 - A)^{-\delta}\| = \infty.$$

Thus, if Theorem 1.2 holds for  $\alpha = 0$ ,  $p = 2$ , and  $\beta \geq 0$ , we must have  $\beta \geq \frac{1}{2}$ .

For  $Y = X$  and  $P = I$  and  $p \in (1, 2]$ , Theorem 1.2 has the following consequence:

**Corollary 1.3.** *Let  $X$  be a Banach space with Fourier type  $p \in (1, 2]$ , let  $A$  be the generator of a  $C_0$ -semigroup  $\mathbf{T}$  on  $X$ . Let  $x_0 \in X$  be such that the local resolvent  $\lambda \mapsto R(\lambda, A)x_0$  admits a holomorphic extension  $F(\lambda)$  in the open right half-plane. If there exist  $\omega_0 > \max\{0, \omega_0(\mathbf{T})\}$ ,  $M > 0$  and  $\alpha \in [-1, \infty)$  such that*

$$\|F(\lambda)\| \leq M(1 + |\lambda|)^\alpha, \quad 0 < \operatorname{Re} \lambda < \omega_0,$$

then for all  $\beta \geq 0$  with  $\beta > \alpha + \frac{1}{p}$  and all  $\lambda_0 > \omega_0(\mathbf{T})$  we have

$$\lim_{t \rightarrow \infty} \|T(t)(\lambda_0 - A)^{-\beta} x_0\| = 0.$$

*Proof:* By Theorem 1.2 applied to the case  $Y = X$  and  $P = I$  we find that the function  $f(t) := T(t)(\lambda_0 - A)^{-\beta} x_0$  defines an element of  $L^q(\mathbb{R}_+, X)$ ,  $\frac{1}{p} + \frac{1}{q} = 1$ . Hence a standard argument (cf. the proof of [17, Theorem 4.4.1]) shows that  $\lim_{t \rightarrow \infty} \|f(t)\| = 0$ . *////*

Recalling that a  $B$ -convex Banach space  $X$  has non-trivial Fourier type, we see from Corollary 1.3 that

$$\lim_{t \rightarrow \infty} \|T(t)R(\lambda, A)x_0\| = 0$$

whenever  $\mathbf{T}$  is a  $C_0$ -semigroup on a  $B$ -convex space  $X$  and  $x_0 \in X$  is such that the local resolvent  $R(\lambda, A)x_0$  admits a bounded holomorphic extension to the open right half-plane. This improves the result of [9] mentioned in the introduction.

We next discuss the analogue of Corollary 1.3 for general operators  $P$ . Although the proof of Corollary 1.3 breaks down, for slightly larger values of  $\beta$  we can prove:

**Theorem 1.4.** *Let  $P$  be a bounded operator from a Banach space  $X$  into a  $B$ -convex Banach space  $Y$ . Let  $A$  be the generator of a  $C_0$ -semigroup  $\mathbf{T}$  on  $X$  and let  $x_0 \in X$  be such that the map  $\lambda \mapsto PR(\lambda, A)x_0$  extends to a holomorphic function  $F(\lambda)$  in the open right half-plane. If there exist  $\omega_0 > \max\{0, \omega_0(\mathbf{T})\}$ ,  $M > 0$  and  $\alpha \in [-1, \infty)$  such that*

$$\|F(\lambda)\| \leq M(1 + |\lambda|)^\alpha, \quad 0 < \operatorname{Re} \lambda < \omega_0,$$

then for all  $\beta > \alpha + 1$  and  $\lambda_0 > \max\{0, \omega_0(\mathbf{T})\}$  we have

$$\lim_{t \rightarrow \infty} \|PT(t)(\lambda_0 - A)^{-\beta} x_0\| = 0.$$

*Proof:* Without loss of generality we may assume that  $\omega_0(\mathbf{T}) \geq 0$ . Fix  $\lambda_0 > \omega_0(\mathbf{T})$ . Let  $p \in (1, 2]$  be the Fourier type of  $Y$ . Then  $Y$  has also Fourier type  $p'$  for all  $p' \in (1, p]$ . Hence, since  $\beta > 0$  by assumption, upon replacing  $p$  by a smaller value we may assume that  $\beta > \frac{1}{q}$ ,  $\frac{1}{p} + \frac{1}{q} = 1$ . This enables us to choose  $\delta \geq 0$  such that  $\delta > \alpha + \frac{1}{p}$  in such a way that  $\frac{1}{q} < \gamma := \beta - \delta < 1$ . Consider the functions

$$f(t) := PT(t)(\lambda_0 - A)^{-\delta} x_0, \quad g(t) := PT(t)(\lambda_0 - A)^{-\beta} x_0; \quad t \geq 0.$$

By Theorem 1.2,  $f \in L^q(\mathbb{R}_+, Y)$ . For  $t \geq 0$  we have

$$\begin{aligned}
g(t) &= PT(t)(\lambda_0 - A)^{-\delta-\gamma}x_0 \\
&= PT(t)(\lambda_0 - A)^{-\delta} \left( \frac{\sin \pi \gamma}{\pi} \int_0^\infty s^{-\gamma} R(\lambda_0 + s, A)x_0 ds \right) \\
&= \frac{\sin \pi \gamma}{\pi} P(\lambda_0 - A)^{-\delta} \int_0^\infty s^{-\gamma} \int_0^\infty e^{-(\lambda_0+s)r} T(t+r)x_0 dr ds \\
&= \frac{\sin \pi \gamma}{\pi} \int_0^\infty s^{-\gamma} \int_0^\infty e^{-(\lambda_0+s)r} f(t+r)x_0 dr ds.
\end{aligned} \tag{1.9}$$

Now,

$$\begin{aligned}
\left\| \int_0^\infty e^{-(\lambda_0+s)r} f(t+r)x_0 dr \right\| &\leq \left( \int_0^\infty e^{-(\lambda_0+s)rp} dr \right)^{\frac{1}{p}} \cdot \left( \int_0^\infty \|f(t+r)\|^q dr \right)^{\frac{1}{q}} \\
&= \frac{1}{(p(\lambda_0 + s))^{\frac{1}{p}}} \left( \int_t^\infty \|f(r)\|^q dr \right)^{\frac{1}{q}}.
\end{aligned}$$

Combining this estimate with (1.9) yields

$$\|g(t)\| \leq \frac{\sin \pi \gamma}{\pi p^{\frac{1}{p}}} \int_0^\infty s^{-\gamma} (\lambda_0 + s)^{-\frac{1}{p}} ds \cdot \left( \int_t^\infty \|f(r)\|^q dr \right)^{\frac{1}{q}}.$$

Since  $\frac{1}{q} < \gamma < 1$ , the first integral in the above expression is absolutely convergent, and the second tends to 0 as  $t \rightarrow \infty$ . This proves that  $\lim_{t \rightarrow \infty} \|g(t)\| = 0$ .  $////$

Theorem 0.3 is a special case of Theorem 1.4 by taking  $\alpha = 0$ ,  $Y = \mathbb{C}$ , and  $P = x^*$ . Of course, Theorem 0.3 can be proved without reference to  $B$ -convexity: Take  $Y = X$  and  $P = x^*$  in the proofs of Theorems 1.2 and 1.5 and use the Hausdorff-Young theorem instead of the Fourier type. A similar remark applies to Corollary 2.3 below.

For  $\alpha = 0$ , Theorem 1.4 fails for every  $0 \leq \beta < 1$  (the case  $\beta = 1$  remains open). Indeed, consider the case that the resolvent  $R(\lambda, A)$  itself is uniformly bounded in  $\{\operatorname{Re} \lambda > 0\}$ . Then the assumptions of Theorem 1.4 are satisfied for  $\alpha = 0$ , all  $x_0 \in X$ , and all functionals  $P = x^* \in X^*$ . Hence if the theorem holds for some  $\beta \geq 0$ , then from the uniform boundedness principle we conclude

$$\sup_{t \geq 0} \|T(t)(\lambda_0 - A)^{-\beta}\| < \infty.$$

For  $0 \leq \beta < 1$ , this contradicts the example in [22] cited in the discussion after Theorem 1.2.

We next turn to a version of Theorem 1.4 which holds for  $\beta > \alpha + \frac{1}{p}$  rather than  $\beta > \alpha + 1$ . The price for this is the a priori assumption that  $PT(\cdot)x_0$  is *bounded*.

**Theorem 1.5.** *Let  $P$  be a bounded linear operator from a Banach space  $X$  into a Banach space  $Y$  with Fourier type  $p \in (1, 2]$ . Let  $A$  be the generator of a  $C_0$ -semigroup  $\mathbf{T}$  on  $X$  and let  $x_0 \in X$  be such that the orbit  $t \mapsto PT(t)x_0$  is bounded and  $\lambda \mapsto PR(\lambda, A)x_0$  admits a holomorphic extension  $F(\lambda)$  to the open right half-plane. If there exist  $\omega_0 > \max\{0, \omega_0(\mathbf{T})\}$ ,  $M > 0$  and  $\alpha \in [-1, \infty)$  such that*

$$\|F(\lambda)\| \leq M(1 + |\lambda|)^\alpha, \quad 0 < \operatorname{Re} \lambda < \omega_0,$$

then for all  $\lambda_0 > \omega_0(\mathbf{T})$  and  $\beta \geq 1$  with  $\beta > \alpha + \frac{1}{p}$  we have

$$\lim_{t \rightarrow \infty} \|PT(t)(\lambda_0 - A)^{-\beta}x_0\| = 0.$$

*Proof:* Without loss of generality we may assume that  $\omega_0(\mathbf{T}) \geq 0$ . Fix  $\lambda_0 > \omega_0(\mathbf{T})$  and  $\beta \geq 1$  with  $\beta > \alpha + \frac{1}{p}$ . For each  $\delta \geq 0$  consider the function

$$f_\delta(t) := PT(t)(\lambda_0 - A)^{-\delta}x_0, \quad t \geq 0.$$

We have to show that  $\lim_{t \rightarrow \infty} \|f_\beta(t)\| = 0$ . Theorem 1.2 shows that  $f_\beta \in L^q(\mathbb{R}, Y)$ ,  $\frac{1}{p} + \frac{1}{q} = 1$ .

Let  $\delta = n + \gamma$  with  $n \in \mathbb{N}$  and  $\gamma \in [0, 1)$ . If  $\gamma \in (0, 1)$ , then

$$\begin{aligned} \|PT(\tau)(\lambda_0 - A)^{-\gamma}x_0\| &= \frac{\sin \pi \gamma}{\gamma} \left\| \int_0^\infty r^{-\gamma} PT(\tau)R(\lambda_0 + r, A)x_0 dr \right\| \\ &= \frac{\sin \pi \gamma}{\gamma} \left\| \int_0^\infty r^{-\gamma} \int_0^\infty e^{-(\lambda_0+r)s} PT(\tau + s)x_0 ds dr \right\| \\ &\leq \frac{\sin \pi \gamma}{\gamma} \int_0^\infty Cr^{-\gamma}(\lambda_0 + r)^{-1} dr, \end{aligned}$$

where  $C := \sup_{t \geq 0} \|PT(t)x_0\|$ . If  $\gamma = 0$ , then  $\|PT(\tau)x_0\| \leq C$ . In either case, we see that  $C_\gamma := \sup_{\tau \geq 0} \|PT(\tau)(\lambda_0 - A)^{-\gamma}x_0\| < \infty$ . Using this, we obtain

$$\begin{aligned} \|f_\delta(t)\| &= \left\| \int_0^\infty \dots \int_0^\infty e^{-\lambda_0(s_1 + \dots + s_n)} PT(t + s_1 + \dots + s_n)(\lambda_0 - A)^{-\gamma}x_0 ds_n \dots ds_1 \right\| \\ &\leq C_\gamma \lambda_0^{-n} \end{aligned}$$

for all  $t \geq 0$ , so  $f_\delta$  is bounded. In particular, such an estimate holds for  $f_\beta$ . Also,  $f_\beta$  is differentiable and

$$f'_\beta(t) = PT(t)A(\lambda_0 - A)^{-\beta}x_0 = -f_{\beta-1}(t) + \lambda_0 f_\beta(t).$$

Therefore, also  $f'_\beta(\cdot)$  is bounded (here we use that  $\beta \geq 1$ ) and hence the bounded function  $f_\beta(\cdot)$  is uniformly continuous. Then also  $\|f_\beta(\cdot)\|^q = \|PT(\cdot)(\lambda_0 - A)^{-\beta}x_0\|^q$  is bounded and uniformly continuous, and it is an immediate consequence of Theorem 1.2 that  $\|f_\beta(t)\| \rightarrow 0$  as  $t \rightarrow \infty$ . ////

Assuming boundedness *and uniform continuity* of  $PT(\cdot)x_0$ , we obtain a stronger result. Let us say that a function  $F$  is *polynomially bounded* in the strip  $\{0 < \operatorname{Re} \lambda < \omega_0\}$  if there exist  $M > 0$  and  $n \in \mathbb{N}$  such that

$$\|F(\lambda)\| \leq M(1 + |\lambda|)^n, \quad 0 < \operatorname{Re} \lambda < \omega_0. \quad (1.10)$$

**Corollary 1.6.** *Let  $P$  be a bounded linear operator from  $X$  into a  $B$ -convex space  $Y$ . Let  $A$  be the generator of a  $C_0$ -semigroup  $\mathbf{T}$  on  $X$  and let  $x_0 \in X$  be such that the orbit  $t \mapsto PT(t)x_0$  is bounded and uniformly continuous. If the map  $\lambda \mapsto PR(\lambda, A)x_0$  extends to a holomorphic function in the open right half-plane which is polynomially bounded in  $\{0 < \operatorname{Re} \lambda < \omega_0\}$  for some  $\omega_0 > \max\{0, \omega_0(\mathbf{T})\}$ , then  $\lim_{t \rightarrow \infty} \|PT(t)x_0\| = 0$ .*

*Proof:* Fix  $\lambda > \omega_0(\mathbf{T})$ . Let  $\mathbf{S}$  denote the left translation semigroup on the space  $Z := BUC(\mathbb{R}_+, Y)$  defined by  $(S(t)f)(s) = f(t+s)$ ;  $s, t \geq 0$ . The function  $f(t) := PT(t)x_0$  defines an element of  $Z$ . From the identity

$$PT(t)R(\lambda, A)^{n+1}x_0 = \int_0^\infty \dots \int_0^\infty e^{-\lambda(s_1 + \dots + s_{n+1})} PT(s_1 + \dots + s_{n+1} + t)x_0 ds_{n+1} \dots ds_1$$

it is easy to see that also  $f_\lambda(t) := PT(t)R(\lambda, A)^{n+1}x_0$  defines an element of  $Z$ ; here  $n \in \mathbb{N}$  is chosen such that (1.10) holds.

By Theorem 1.5,

$$\lim_{t \rightarrow \infty} \|S(t)f_\lambda\|_Z = \lim_{t \rightarrow \infty} \left( \sup_{s \geq 0} \|PT(t+s)R(\lambda, A)^{n+1}x_0\| \right) = 0.$$

Therefore,  $f_\lambda \in Z_0 := \{f \in Z : \lim_{t \rightarrow \infty} \|S(t)f\|_Z = 0\}$ . For  $\operatorname{Re} \lambda > \omega_0(\mathbf{T})$  and  $s \geq 0$  we have, denoting by  $B$  the generator of  $\mathbf{S}$ ,

$$\begin{aligned} (R(\lambda, B)^{n+1}f)(s) &= \int_0^\infty \dots \int_0^\infty e^{-\lambda(t_1 + \dots + t_{n+1})} (S(t_1 + \dots + t_{n+1})f)(s) dt_{n+1} \dots dt_1 \\ &= \int_0^\infty \dots \int_0^\infty e^{-\lambda(t_1 + \dots + t_{n+1})} PT(t_1 + \dots + t_{n+1} + s)x_0 dt_{n+1} \dots dt_1 \\ &= PT(s)R(\lambda, A)^{n+1}x_0 = f_\lambda(s). \end{aligned}$$

Hence  $f = \lim_{\lambda \rightarrow \infty} \lambda^{n+1}R(\lambda, B)^{n+1}f = \lim_{\lambda \rightarrow \infty} \lambda^{n+1}f_\lambda \in Z_0$  by the closedness of  $Z_0$ . Hence  $\lim_{t \rightarrow \infty} \|S(t)f\| = 0$ , and thus  $\lim_{t \rightarrow \infty} \|PT(t)x_0\| = \lim_{t \rightarrow \infty} \|(S(t)f)(0)\| = 0$ . *////*

The technique of this proof goes back to Kantorovitz [10]; see [2] for another application.

The following example shows that our results break down if no restrictions on the Banach space  $X$  are imposed.

**Example 1.7.** Let  $X = C_0(\mathbb{R})$  and consider the left translation group  $\mathbf{S}$  on  $X$ . Let  $B$  be its generator. Let  $f \in X$  be any non-zero function with support in  $[0, 1]$ . Then for all  $\operatorname{Re} \lambda > 0$  and  $s \in \mathbb{R}$  we have

$$|(R(\lambda, B)f)(s)| = \left| \int_0^\infty e^{-\lambda t} f(s+t) dt \right| \leq \|f\|_\infty.$$

Consequently,

$$\sup_{\operatorname{Re} \lambda > 0} \|R(\lambda, B)f\|_\infty \leq \|f\|_\infty,$$

but since  $\mathbf{S}$  is isometric and  $(\lambda_0 - B)^{-\beta}$  is injective we see that

$$\lim_{t \rightarrow \infty} \|S(t)(\lambda_0 - B)^{-\beta} f\|_\infty = \|(\lambda_0 - B)^{-\beta} f\|_\infty \neq 0; \quad \forall \beta \geq 0, \lambda_0 > 0.$$

As an application of Corollary 1.6 we shall derive a Tauberian theorem for the Laplace transform of functions in  $L^\infty(\mathbb{R}_+, Y)$ , where  $Y$  is a  $B$ -convex Banach space. This serves merely as an illustration of what can be done with the above theory; by considering bounded, uniformly continuous orbits much of the sharpness of the preceding results is lost and it may well be that more direct methods will lead to a sharper Tauberian theorem (cf. the remarks at the end of the paper).

**Lemma 1.8.** *Let  $Y$  be a  $B$ -convex Banach space and assume that the Laplace transform  $\hat{g}$  of a function  $g \in BUC(\mathbb{R}_+, Y)$  is polynomially bounded in some strip  $\{0 < \operatorname{Re} \lambda < \omega_0\}$ . Then  $\lim_{t \rightarrow \infty} \|g(t)\| = 0$ .*

*Proof:* Consider the left translation semigroup  $\mathbf{S}$  in  $BUC(\mathbb{R}_+, Y)$  with generator  $B$ . Let  $P$  be the bounded operator from  $BUC(\mathbb{R}_+, Y)$  into  $Y$  defined by  $Ph = h(0)$ . Then  $PS(t)g = g(t) \otimes \mathbf{1}$  and  $PR(\lambda, B)g = \hat{g}(\lambda) \otimes \mathbf{1}$  for all  $t \geq 0$  and  $\operatorname{Re} \lambda > 0$ . Since  $Y$  is  $B$ -convex, we can apply Corollary 1.6 to  $\mathbf{S}$  and deduce that  $\lim_{t \rightarrow \infty} \|g(t)\| = \lim_{t \rightarrow \infty} \|PS(t)g\| = 0$ . *////*

**Theorem 1.9.** *Let  $Y$  be a  $B$ -convex Banach space and let  $f \in L^\infty(\mathbb{R}_+, Y)$ . If the Laplace transform  $\hat{f}$  is polynomially bounded in some strip  $\{0 < \operatorname{Re} \lambda < \omega_0\}$  and can be holomorphically extended to a neighbourhood of 0, then*

$$\lim_{t \rightarrow \infty} \left\| \int_0^t f(s) ds - \hat{f}(0) \right\| = 0.$$

*Proof:* The proof is inspired by [2, Theorem 4.3].

Upon replacing  $f(t)$  by  $f(t) - e^{-t}\hat{f}(0)$  we may assume that  $\hat{f}(0) = 0$ . By a special case of Ingham's Tauberian theorem the function  $g(t) := \int_0^t f(s) ds$  is bounded (see [11] for an elegant and elementary proof). Moreover,  $g$  is uniformly continuous and in

view of  $\hat{f}(0) = 0$ , 0 is a removable singularity of  $\hat{g}(\lambda) = \lambda^{-1}\hat{f}(\lambda)$ . It follows that  $\hat{g}$  is polynomially bounded in  $\{0 < \operatorname{Re} \lambda < \omega_0\}$ . Therefore by Lemma 1.8,

$$\lim_{t \rightarrow \infty} \left\| \int_0^t f(s) ds \right\| = \lim_{t \rightarrow \infty} \|g(t)\| = 0.$$

////

## 2. Stability and the analytic Radon-Nikodym property

In this section we will prove some analogues of the previous results for the case  $p = 1$ . As it turns out, this is possible if one assumes  $Y$  has the analytic Radon-Nikodym property.

We start by recalling some facts concerning vector-valued Hardy spaces over the disc  $D = \{z \in \mathbb{C} : |z| < 1\}$ .

For  $p \in [1, \infty]$  we let  $H^p(D, Y)$  denote the set of all holomorphic functions  $f : D \rightarrow Y$  for which

$$\|f\|_p := \sup_{0 < r < 1} \left( \int_0^{2\pi} \|f(re^{i\theta})\|^p d\theta \right)^{\frac{1}{p}} < \infty.$$

In case  $p = \infty$  we interpret the above integral in terms of the supremum norm in the obvious way. It is not difficult to see that  $H^p(D, Y)$  is a Banach space with respect to the norm  $\|\cdot\|_p$ . We let  $H_0^p(D, Y)$  denote the closed subspace of  $H^p(D, Y)$  consisting of all functions  $f$  for which the radial limits  $\tilde{f}(e^{i\theta}) := \lim_{r \uparrow 1} f(re^{i\theta})$  exist for almost all  $\theta$ . By Fatou's lemma,

$$\int_0^{2\pi} \|\tilde{f}(e^{i\theta})\|^p d\theta \leq \liminf_{r \uparrow 1} \int_0^{2\pi} \|f(re^{i\theta})\|^p d\theta,$$

which shows that the boundary function  $\tilde{f}$ , if it exists a.e., belongs to  $L^p(\Gamma)$ , where  $\Gamma = \{z \in \mathbb{C} : |z| = 1\}$ . In this case,  $f$  can be recovered from  $\tilde{f}$  by the Poisson integral

$$f(re^{i\theta}) = \frac{1}{2\pi} \int_0^{2\pi} \tilde{f}(e^{i\eta}) \frac{1-r^2}{1-2r \cos(\theta-\eta) + r^2} d\eta.$$

Defining  $f_r(e^{i\theta}) := f(re^{i\theta})$ , as in the scalar case it follows from this representation that

$$\lim_{r \uparrow 1} \| \tilde{f} - f_r \|_{L^p(\Gamma)} = 0.$$



A Banach space  $Y$  is said to have the *analytic Radon-Nikodym property* if  $H_0^p(D, Y) = H^p(D, Y)$ . Equivalently,  $Y$  has the analytic Radon-Nikodym property if for all  $f \in H^p(D, Y)$  the radial limits  $\tilde{f}(e^{i\theta}) := \lim_{r \uparrow 1} f(re^{i\theta})$  exist for almost all  $\theta$ , and in this case we actually have  $f_r \rightarrow \tilde{f}$  in the  $L^p$ -norm.

The role of the exponent  $p$  needs some clarification: it can be shown that if  $H_0^p(D, Y) = H^p(D, Y)$  holds for some  $p \in [1, \infty]$ , then it holds for all  $p \in [1, \infty]$ .

The following facts are well-known:

- (i) If  $Y$  has the Radon-Nikodym property, then  $Y$  has the analytic Radon-Nikodym property;
- (ii) If  $Y$  has the analytic Radon-Nikodym property, then  $Y$  contains no closed subspace isomorphic to  $c_0$ ;
- (iii) A Banach lattice  $Y$  has the analytic Radon-Nikodym property if and only if  $Y$  contains no closed subspace isomorphic to  $c_0$ .

It follows from (i) that every reflexive Banach space and every separable dual Banach space has the analytic Radon-Nikodym property. By (iii), the spaces  $L^1(\mu)$  have the analytic Radon-Nikodym property. The proofs can be found in [5,6].

By mapping a rectangle conformally onto the unit disc it is not difficult to prove the following result; cf. [7].

**Proposition 2.1.** *Let  $\Delta$  and  $\Delta_r$ ,  $0 < r < 1$ , be the rectangles in  $\mathbb{C}$  spanned by the points  $\pm a \pm ib$  and  $\pm ra \pm irb$ , respectively. Let  $f$  be a holomorphic  $Y$ -valued function in the interior of  $\Delta$ . Assume that  $Y$  has the analytic Radon-Nikodym property and that*

$$\sup_{0 < r < 1} \int_{\Delta_r} \|f(z)\| |dz| < \infty.$$

*Then, the strong limits  $\lim_{r \uparrow 1} f(rz)$  exist for almost all  $z \in \Delta$  and define a function  $\tilde{f} \in L^1(\Delta)$ . Moreover,*

$$\lim_{r \uparrow 1} \int_{\Delta} \|\tilde{f}(z) - f(rz)\| |dz| = 0.$$

////

**Theorem 2.2.** *Let  $P$  be a bounded operator from a Banach space  $X$  into a Banach space  $Y$  with the analytic Radon-Nikodym property. Let  $A$  be the generator of a  $C_0$ -semigroup  $\mathbf{T}$  on  $X$ . Assume that for some  $x_0 \in X$ , the map  $\lambda \mapsto PR(\lambda, A)x_0$  admits a holomorphic extension  $F(\lambda)$  to the open right half-plane. If there exist  $\omega_0 > \max\{0, \omega_0(\mathbf{T})\}$ ,  $M > 0$  and  $\alpha \in [-1, \infty)$  such that*

$$\|F(\lambda)\| \leq M(1 + |\lambda|)^\alpha, \quad 0 < \operatorname{Re} \lambda < \omega_0,$$

then for all  $\lambda_0 > \max\{0, \omega_0(\mathbf{T})\}$  and  $\beta > \alpha + 1$  we have

$$\lim_{t \rightarrow \infty} \|PT(t)(\lambda_0 - A)^{-\beta} x_0\| = 0.$$

*Proof:* Without loss of generality we may assume that  $\omega_0(\mathbf{T}) \geq 0$ . Fix  $\lambda_0 > \omega_0(\mathbf{T})$ . By taking a smaller value of  $\omega_0$  we may assume that  $\omega_0(\mathbf{T}) < \omega_0 < \lambda_0$ .

Fix  $\gamma \in (\alpha + 1, \beta)$  and let  $\delta := \beta - \gamma$ .

Let  $g(\lambda)$  denote the holomorphic extension in the open right half-plane of the function  $\lambda \mapsto PR(\lambda, A)(\lambda_0 - A)^{-\gamma} x_0$ . Fix  $\omega_1 \in (\omega_0(\mathbf{T}), \omega_0)$ . On the strip  $\{0 < \operatorname{Re} \lambda < \omega_1\}$  we define  $h(\lambda) := (\omega_0 - \lambda)^{-\delta} g(\lambda)$ . By Lemma 1.1, for each  $\zeta \in \mathbb{C}$  with  $0 < \operatorname{Re} \zeta < \omega_1$  the function

$$s \mapsto h_\zeta(s) := h(\zeta - is) = (\omega_0 - \zeta + is)^{-\delta} g(\zeta - is)$$

belongs to  $L^1(\mathbb{R}, Y)$ , and the map  $\zeta \mapsto h_\zeta$  is a bounded  $L^1(\mathbb{R}, Y)$ -valued holomorphic function on  $\{0 < \operatorname{Re} \zeta < \omega_1\}$ .

Arguing as in the proof of the Claim following Theorem 1.2 we see that for  $\omega \in (\omega_0(\mathbf{T}), \omega_1)$  the Fourier transform of  $h_\omega$  is given by

$$\frac{1}{2\pi} \mathcal{F}h_\omega(t) = e^{-\omega t} PT(t)(\omega_0 - A)^{-\delta} (\lambda_0 - A)^{-\gamma} x_0. \quad (2.1)$$

Hence by uniqueness of analytic continuation,

$$\frac{1}{2\pi} \mathcal{F}h_\zeta(t) = e^{-\zeta t} PT(t)(\omega_0 - A)^{-\delta} (\lambda_0 - A)^{-\gamma} x_0, \quad 0 < \operatorname{Re} \zeta < \omega_1,$$

and we conclude that (2.1) holds for all  $\omega \in (0, \omega_1)$ .

Since  $Y$  has the analytic Radon-Nikodym property, we may apply Proposition 2.1 and conclude that the boundary function  $\tilde{h}$  of  $h$  exists a.e. on  $i\mathbb{R}$ , defines an element in  $L^1_{loc}(i\mathbb{R}, Y)$ , and that

$$\lim_{\omega \downarrow 0} \int_{-r}^r \|\tilde{h}(is) - h(\omega + is)\| ds = 0$$

for all  $r > 0$ . But then (1.3) and the definition of  $h$  easily implies that we actually have  $\tilde{h} \in L^1(i\mathbb{R}, Y)$  and

$$\lim_{\omega \downarrow 0} \int_{-\infty}^{\infty} \|\tilde{h}(is) - h(\omega + is)\| ds = 0.$$

Hence by passing to the limit  $\omega \downarrow 0$  in (2.1), we obtain

$$\begin{aligned} PT(\cdot)(\omega_0 - A)^{-\delta} (\lambda_0 - A)^{-\gamma} x_0 &= \lim_{\omega \downarrow 0} e^{-\omega t} PT(\cdot)(\omega_0 - A)^{-\delta} (\lambda_0 - A)^{-\beta} x_0 \\ &= \frac{1}{2\pi} \lim_{\omega \downarrow 0} \mathcal{F}h(\omega - i(\cdot))(t) = \frac{1}{2\pi} \mathcal{F}\tilde{h}(-i(\cdot))(t). \end{aligned}$$

Therefore,  $PT(\cdot)(\omega_0 - A)^{-\delta} (\lambda_0 - A)^{-\gamma} x_0 \in C_0(\mathbb{R}_+, Y)$  by the Riemann-Lebesgue lemma. Recalling that  $\delta + \gamma = \beta$ , by standard arguments involving fractional powers this will give the desired result.  $////$

Theorem 0.2 is a special case of this.

Taking  $Y = \mathbb{C}$  and  $P := x_0^* \in X^*$ , we obtain the following result, which contains Theorem 0.3 as a special case.

**Corollary 2.3.** *Let  $A$  be the generator of a  $C_0$ -semigroup  $\mathbf{T}$  on a Banach space  $X$ . Assume that for some  $x_0 \in X$  and  $x_0^* \in X^*$ , the map  $\lambda \mapsto \langle x_0^*, R(\lambda, A)x_0 \rangle$  admits a holomorphic extension  $F(\lambda)$  to the open right half-plane. If there exist  $\omega_0 > \max\{0, \omega_0(\mathbf{T})\}$ ,  $M > 0$  and  $\alpha \in [-1, \infty)$  such that*

$$|F(\lambda)| \leq M(1 + |\lambda|)^\alpha, \quad 0 < \operatorname{Re} \lambda < \omega_0,$$

then for all  $\lambda_0 > \max\{0, \omega_0(\mathbf{T})\}$  and  $\beta \geq 0$  with  $\beta > \alpha + 1$  we have

$$\lim_{t \rightarrow \infty} \langle x_0^*, T(t)(\lambda_0 - A)^{-\beta} x_0 \rangle = 0.$$

The case  $\alpha = 0$  of Theorem 2.2 can be used to show that Corollary 1.6, and therefore also Theorem 1.9, remains valid if  $B$ -convexity is replaced by the analytic Radon-Nikodym property. It is possible, however, to modify the proof of [11] to prove in a more direct way the stronger result: if  $Y$  has the analytic Radon-Nikodym property and  $f \in L^\infty(\mathbb{R}_+, Y)$  is such that for all  $r > 0$  we have

$$\limsup_{\omega \downarrow 0} \int_{-r}^r \left\| \frac{\hat{f}(\omega + is) - \hat{f}(0)}{\omega + is} \right\| ds < \infty,$$

then  $\lim_{t \rightarrow \infty} \left\| \int_0^t f(s) ds - \hat{f}(0) \right\| = 0$ . This was shown by Chill [7] and suggests that it may be possible to prove a similar result assuming  $B$ -convexity. It is important in this context to point out that  $B$ -convexity and the analytic Radon-Nikodym property are unrelated concepts in the sense that none implies the other. In fact,  $L^1[0, 1]$  has the analytic Radon-Nikodym property (by observation (iii) at the beginning of this section) but no non-trivial type, so it is not  $B$ -convex. The following example shows that there exist  $B$ -convex spaces without the analytic Radon-Nikodym property:

**Example 2.4.** By the function space analogue of a result in [20] (the details are given in [24]), the operator of integration  $I : L^1[0, 1] \rightarrow C[0, 1]$ ,

$$I(f)(t) := \int_0^t f(s) ds,$$

factors through a space with type 2. Denoting  $f_0(t) := t$  and defining  $T : C[0, 1] \rightarrow C[0, 1]$  by  $T(f) := f - f(1)f_0$ , also  $J := T \circ I$  factors through a space with type

2. Identifying  $[0, 1)$  with the unit circle  $\Gamma$  in the complex plane and letting  $e_n(\theta) := \exp(2\pi in\theta)$ ,  $\theta \in \Gamma$ ,  $n \in \mathbb{Z}$ , we can represent  $J$  as an operator from  $L^1(\Gamma)$  into  $C(\Gamma)$  by

$$J(e_n) = e_n/(2\pi in), \quad n \in \mathbb{Z} \setminus \{0\}, \quad J(e_0) = 0.$$

Recalling that type passes to quotients, it follows that the quotient operator  $J_0 : L^1(\Gamma)/H_0^1 \rightarrow C(\Gamma)/A_0$  induced by  $J$  factors through a space with type 2; here  $H_0^1$  and  $A_0$  denote the closed linear span in  $L^1(\Gamma)$  and  $C(\Gamma)$ , respectively, of  $\{\theta \mapsto \exp(2\pi in\theta) : n = -1, -2, \dots\}$ . On the other hand, by a result of Pisier [8, Proposition V.5],  $J_0$  cannot be factored through a space with the analytic Radon-Nikodym property.

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*Note added in proof* - Recently, V. Wrobel [23] has shown that the bound  $\beta > \frac{1}{p}$  in Theorem 0.1 is the best possible, in the sense that a counterexample can be constructed for every  $\beta \in [0, \frac{1}{p})$ . Whether or not the theorem holds for  $\beta = \frac{1}{p}$  remains an open problem. In the same paper, an extension Theorem 0.1 into a different direction is obtained.

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